

PD90-0282CONT1 17380/1206

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ramanujan et al.

Serial No: 08/047,164

Group Art Unit: > 2305

Filed: April 12, 1993

Examiner: > G. Ray

Title: HIGH SPEED BUS SYSTEM

Date of Deposit May 26,1998

I hereby certify that this paper or fee is being deposited with the United States Postal Service as FIRST CLASS MAIL on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington DC 20231

Depositor Name Mary & Hale

Signature_

RENEWED PETITION TO REVIVE APPLICATION UNDER 37 CFR §§ 1.183 and 1.137(b)

06/02/1998 SSALEEKU 00000029 041079 08047164

02 FC:141

Assistant Commissioner for Patents Washington, D.C. 20231

Box DAC

Sir:

The applicant hereby respectfully petitions the Assistant Commissioner of Patents to revive the subject patent application which became abandoned unintentionally on February 1, 1998.

PD90-0282CONT1 17380/1206

Enclosed are:

- 1. Petition for a 4-Month Extension of Time with Authorization to Charge Deposit Account #04-1079.
- Renewed Petition Under 37 C.F.R.§§ 1.183 and
 2.237(b) To Revive an Unitentionally Abandoned
 Application;
- 3. Affidavit of Joan E. Federici in Support of Digital Equipment Corporation's RENEWED PETITION TO REVIVE AN UNINTENTIONALLY ABANDONED APPLICATION UNDER 37 CFR §§ 1.183 and 1.137(b);
- 4. Affidavit of David Suhl in Support of Digital Equipment Corporation's RENEWED PETITION TO REVIVE AN UNINTENTIONALLY ABANDONED APPLICATION UNDER 37 CFR §§ 1.183 and 1.137(b);
- 5. Affidavit of Joyce Lange in Support of Digital Equipment Corporation's RENEWED PETITION TO REVIVE AN UNINTENTIONALLY ABANDONED APPLICATION UNDER 37 CFR §§ 1.183 and 1.137(b).

PD90-0282CONT1 17380/1206

The Assistant Commissioner for Patents is hereby authorized to charge the fee of \$1,320.00 for the Petition to Revive (large entity) and any other fees that may be required by this paper to Deposit Account No. 04-1079.

All future correspondence should be addressed to:

Patent Law Group
Digital Equipment Corporation
111 Powdermill Road, MSO2-3/G3
Maynard, Massachusetts 01754-1499

Respectfully submitted,

DIGITAL EQUIPMENT CORPORATION

By:

Joanne Pappas

Reg. No.40,117

Attorney for Assignee

Patent Law Group Digital Equipment Corporation 111 Powdermill Road, MSO2-3/G3 Maynard, Massachusetts 01754-1499 (978) 493-6951

Enclosures



د سا

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Ramanujan et al.

Application No. : 08/047,164

Filing Date : April 12, 1993

Title : HIGH SPEED BUS SYSTEM

Address to:

Assistant Commissioner for Patents
Box DAC
Washington, RECEVED1

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents, Washington, D.C. 20231 on

Date: May 6, 1998

Res. No

Signature

Mass C. Hall Jeanne N. Pappes

JUN 0 3 1608

OFFICE OF PETITIONS
RENEWED PARTITION UNDER 37 C.F.R. §§ 1.183 AND 1.137(b)
TO REVIVE AN UNINTENTIONALLY ABANDONED APPLICATION

Sir:

Digital Equipment Corporation ("Digital"), the assignee of entire interest in the above-identified application, hereby submits this "RENEWED PETITION UNDER 37 C.F.R. §§ 1.183 AND 1.137(b) TO REVIVE AN UNINTENTIONALLY ABANDONED APPLICATION" in response to the decision from the Office of Petitions (hereinafter referred to as the "Decision"), dated December 9, 1997, dismissing "PETITION UNDER 37 C.F.R. 1.183 TO WAIVE ONE YEAR TIME PERIOD REQUIREMENT IN 37 C.F.R. 1.137(b)" (hereinafter the "First Petition") dated June 26, 1998. In the interest of justice and equity, as well as in view of the elimination of the explicit one-year filing period under the revised 37 C.F.R. § 1.137(b), we hereby

request that our Renewed Petition to revive the aboveidentified application, which has been unintentionally abandoned, be granted.

In the above-identified application, U.S. Patent Application No. 08/047,164, a final Office Action was issued on June 30, 1994, and Applicants filed a Response to the final Office Action on November 30, 1994. The PTO subsequently issued an Advisory Action dated December 22, 1994, and the above-identified application became abandoned because of Applicants' failure to respond to the Advisory Action. Notice of Abandonment, 2/1/95). The Advisory Action and the Notice of Abandonment were sent to Kenyon & Kenyon by the PTO but not forwarded to Digital, because Kenyon & Kenyon believed that Digital was aware of the actions from the PTO and was assuming responsibility for the application. Because Digital never received the Advisory Action or the Notice of Abandonment, Digital took no affirmative action on the aboveidentified application after filing the Response dated November 30, 1994.

Page 2 of the Decision includes the statements that since it is unclear "what actually happened to the Notice of Abandonment," and since "Petitioners' representatives have not alleged non-receipt of the Notice, just that it was not forwarded to DEC . . . , any renewed petition should provide verified statement from any person with first hand knowledge of the facts surrounding the handling of the Notice of

Abandonment and Advisory Action." In this regard, the Renewed Petition is accompanied by an affidavit of Ms. Joan Federici, a paralegal at Kenyon & Kenyon who was involved in the handling of the present application leading up to, and during, the period of unintentional abandonment. Ms. Federici's affidavit sets forth Kenyon & Kenyon's handling of the present application leading up to, and during, the period of abandonment of the present application.

As stated in the affidavit of Ms. Federici, under the normal procedures for Digital's applications being handled by Kenyon & Kenyon, any Office Action received by Kenyon & Kenyon would have been forwarded to Ms. Federici, who would in turn report the Office Action to Digital. (Ms. Federici's Affidavit, ¶ 4). The Advisory Action and the Notice of Abandonment were received by Kenyon & Kenyon. (Ms. Federici's Affidavit, ¶¶ 11 and 12). However, Kenyon & Kenyon's docketing department, believing that Digital had assumed responsibility for the prosecution of the application and had filed a substitute power of attorney and a change of correspondence address, never forwarded either the Advisory Action or the Notice of Abandonment to Ms. Federici or to (Ms. Federici's Affidavit, \P 13 and 14). Kenyon's docketing department failed to forward the Advisory Action and the Notice of Abandonment because the notation on the file cover stated "Client Will Handle," which notation was written shortly after September 7, 1994, while the docketing

department personnel did not know that a change of correspondence address and a substitute power of attorney had not been filed by Digital. (Ms. Federici's Affidavit, $\P\P$ 8, 13 and 14).

It is also noted on page 2 of the Decision that this Renewed Petition should provide information regarding "what arrangements DEC made and how did DEC docket the application after informing Kenyon & Kenyon that DEC would handle the matter internally?" In response to the above-noted inquiry, this Renewed Petition is accompanied by an affidavit of Ms. Joyce Lange, a legal assistant at Digital who was involved in the handling of the present application leading up to, and during, the period of unintentional abandonment, as well as by an affidavit of Mr. David Suhl, a patent attorney at Digital who was involved in the handling of the present application leading up to, and during, the time of unintentional abandonment. Mr. Dennis Maloney, the attorney at Digital who was responsible for U.S. Patent Application No. 08/047,164, left Digital in early 1997. The affidavits of Ms. Lange and Mr. Suhl set forth Digital's activity with respect to the present application leading up to, and during, the time of unintentional abandonment.

As noted in the affidavits of Ms. Lange and Mr. Suhl, in response to June 30, 1994 Office Action, Digital docketed an "Appeal/Continuation" due date for December 30, 1994. (Ms. Lange's Affidavit, ¶11; Mr. Suhl's Affidavit,

¶13). However, the need to file a notice of change of correspondence address was never docketed by Digital, as evidenced by the absence of any such docket entry in the docket history report. (Ms. Lange's Affidavit, ¶7; Mr. Suhl's Affidavit, ¶10). As the result of failing to notify the PTO of change in the correspondence address, the Advisory Action dated December 22, 1994 and the Notice of Abandonment dated February 1, 1995 were sent to Kenyon & Kenyon. The "APPEAL/CONTINUATION" due-date entry for December 30, 1994 was inadvertently overlooked, at least in part because the Advisory Action dated December 22, 1994 was never received by Digital. (Mr. Suhl's Affidavit, ¶15).

Digital and Mr. Dennis Maloney, the attorney at Digital who was responsible for U.S. Patent Application No. 08/047,164, never intended to abandon this application. Digital's computerized docket database indicates that the "APPEAL/CONTINUATION" due-date entry for December 30, 1994 was entered into the database on July 21, 1994, and that the "APPEAL/CONTINUATION" due-date entry was "closed" on June 25, 1996. (David Suhl's Affidavit, ¶13; Ms. Joyce Lange's Affidavit, ¶11). Had Digital intended to abandon U.S. Patent Application No. 08/047,164, the normal docketing procedure would have dictated that the "APPEAL/CONTINUATION" due-date entry be closed on or about December 30, 1994, rather than approximately 18 months later, on June 25, 1996. (David Suhl's Affidavit, ¶16; Ms. Joyce Lange's Affidavit, ¶21).

U.S. Patent Application No. 08/047,164 was unintentionally abandoned through inadvertence, based upon assumptions as to what Kenyon & Kenyon was required to do and what Digital was doing as a consequence of Digital's communications to Kenyon & Kenyon.

In the Decision, it is further noted that "[a]ny renewed petition under the `unintentional' standard should include such documentary evidence as would establish petitioner' continuing intent to prosecute the invention . . . [, such as] ongoing foreign patent activity for the instant subject matter, including issuance of other patents in this family, payment of foreign patent taxes or maintenance fees, sales, licences, etc." In addition, the Office of Petitions also noted that "[c]opies of any correspondence between petitioners and or DEC and counsel relating to this application during the period of abandonment, until the filing of this petition, are required."

Accompanying this Renewed Petition are copies of correspondence between Digital and Kenyon & Kenyon relating to the present application leading up to, and during, the period of abandonment. (See Appendix A). As can be seen from the correspondence included in Appendix A, subsequent to Mr.

Maloney's letter dated September 7, 1994 to Ms. Federici of Kenyon & Kenyon, there was no further communication between Digital and Kenyon & Kenyon concerning U.S. Patent Application No. 08/047,164 until about April 29, 1997, (see also Joyce

Lange's Affidavit, ¶12), at which time it was discovered that the application had become abandoned.

This Renewed Petition is also accompanied by copies of documentary evidence which establish Digital's continuing intent to prosecute the invention, i.e., ongoing foreign patent activity for the subject matter of the presently abandoned U.S. patent application. In this regard, copies of correspondences relating to European Patent Application No. 91110755.5 (hereinafter referred to as the "EPO application") are attached as Appendix B. The EPO application, filed on June 28, 1991, corresponds to U.S. Patent Application No. 07/546,547, from which the presently abandoned U.S. Patent Application No. 08/047,164 was filed as a "file-wrappercontinuation" application. As discussed in further detail below, the EPO application has been continuously maintained and prosecuted from the date of filing, and the application has been recently granted by the EPO and is now being validated in several countries.

As indicated in the March 8, 1993 letter included in Appendix B, from Ann Murphy of Kenyon & Kenyon to Ms. Joyce Lange of Digital, there was clear understanding between Digital and Kenyon & Kenyon that the EPO application was to be maintained "up to and including the time of payment of the first annuity fees due in the national countries after grant." As indicated on the "List of Annuities" report dated May 15, 1997 from Computer Packages Inc., which report is also stamped

with the EPO receipt date of May 27, 1997, (attached as Appendix C), the seventh year annuity for EPO Application No. 91110755.5 was paid between November 1, 1996 and June 31, 1997, confirming that the annuities had been timely paid up to this point.

The next letter in the chain of correspondences regarding the EPO application is the letter dated August 2, 1996 from Mr. Ram Nath of Digital to Betten & Resch, the European agent handling the EPO application, in which letter Mr. Nath indicates that Digital wishes to bring the pending patent applications identified in the attached listing inhouse "for further prosecution." (See Appendix B). Subsequently, in the letter dated December 1, 1997 from Mr. Nath to Betten & Resch, Mr. Nath indicates that Digital wishes to proceed with the national stage in Germany, France, Italy, the Netherlands and the United Kingdom. (See Appendix B). The national stage entry in these five countries is indicated in Row A of "Patent Tracking CPI Status Report" dated March 5, 1998, attached as Appendix D, which shows "I" (issued) status for the national stage applications corresponding to the EPO Application No. 9110755.5 in Germany, France, Italy, the Netherlands, and the United Kingdom.

The petitioner respectfully submits that the abovenoted activities relating to the EPO application establish the petitioners' continuing intent to prosecute the invention represented by the abandoned U.S. Application No. 08/047,164.

In the Decision, the Office of Petitions noted that "waiver of the one year filing requirement of [old] 37 C.F.R. 1.137(b) . . . in this situation is not justified" since "there is no showing that the abandonment of this application or the belated filing of any petition to review were caused or contributed by circumstances beyond petitioners' or petitioners' voluntarily chosen representatives' control." (12/9/97 Decision, p. 2). The petitioner respectfully notes that "circumstances beyond petitioners' or petitioners' voluntarily chosen representatives' control" define the standard for unavoidable abandonment under 37 C.F.R. 1.137(a) rather than the standard for unintentional abandonment under 37 C.F.R. 1.137(b) which is the basis of the First Petition and this Renewed Petition. In fact, the "unavoidable abandonment" standard "requires no more or greater care than is generally used and observed by prudent and careful men in relation to their most important business." . In re Katrapat, 6 U.S.P.Q.2d (BNA) 1863, 1866 (Comm'r Pat. & Trademarks 1988). Because of this, the elimination of the explicit one-year filing period under the revised 37 C.F.R. § 1.137(b), and the facts in this case showing the lack of any intent by the parties to abandon the application, the petitioner respectfully requests that this Renewed Petition be granted.

Respectfully submitted,

DIGITAL EQUIPMENT CORPORATION

Date: May 26, 1998

Reg. No. 46 117

DIGITAL EQUIPMENT CORPORATION 111 Powdermill Road Maynard, Massachusetts 01754-1418 Telephone: (978) 493-6951

191718-1

Digital Equipment poration
111 Powdermill Road
Maynard, Massachusetts 01754-1418

March 24, 1998

digital

Jong H. Lee Kenyon & Kenyon One Broadway New York, New York 10004

Re:

Revival of PD90-0282Cont 1

Your Ref. No.: 17380/1206

Dear Mr. Lee:

Enclosed are copies of the correspondence we have regarding the above captioned case and additional related correspondence included in the Kenyon & Kenyon file on the same case.

Please feel free to call me with any questions or comments at 978/493-6951. Thank you.

Very truly yours,

DIGITAL EQUIPMENT CORPORATION

Joanne N. Pappas Patent Attorney

Digital Equipment Corporation 111 Powdermill Road MSO2-3/F9 Maynard, MA 01754-1499 978/493-6951

JNP:meh

ONE BROADWAY NEW YORK, NEW YORK 10004 (212)425-7200 FAX: (212)425-5288

FACSIMILE COVER SHEET

TO:

Joanne Pappas, Esq.

Digital Equipment Corp.

CC:

Robert T. Tobin, Esq.

FAX NO:

1-508-493-8025

DATE:

March 17, 1998

FROM:

Jong H. Lee

SUBJECT: Revival of PD90-0282 Cont. 1 (Our Ref. No.:17380/1206)

NO. OF PAGES INCLUDING COVER: 1

Please call (212)425-7200 Ext. if any pages were not received or are illegible.

MESSAGE:

Dear Ms. Pappas:

Thanks for your letter of March 13, 1998, enclosing Digital's records relating to Digital's activity with respect to the above-referenced application from November 30, 1994 to the date of filing the petition to revive. I note, however, that you have yet to send us a draft of an affidavit by Digital personnel(s) setting forth Digital's activity with respect to the abovereferenced application from November 30, 1994 to the date of filing the petition to revive, as well as Digital's activity subsequent to the filing of the petition. As discussed during our February 11, 1998 telephone conference and reiterated in my facsimile letter of March 4, 1998, we had agreed that Digital would prepare the initial draft of the affidavit. Accordingly, we look forward to your sending us the affidavit as soon as possible.

Sincerely,

Jong H. Lee

186251



Digital Equipment Corporation

111 Powdermill Road Maynard, Massachusetts USA 01754-1418

March 13, 1998

John H. Lee Kenyon & Kenyon One Broadway New York, New York 10004

> Re: Revival of PD90-0282CONT1 Your Ref. No.: 17380/1206

Dear Sirs:

In response to your March 4, 1998 letter, enclosed are the materials that sets forth Digital's activity with respect to the above application from November 30, 1994 to the date of filing a Petition to Revive. There is no license activity on this technology.

Please call Joanne N. Pappas with any questions at (978) 493-6951. Thank you.

Very truly yours,

Joanne N. Pappas

JNP:meh

14-Mar-1993 1		Matter No: PD90-0282 Title: HIGH SPEED BUS		Due Date	Matter No: PD90-0282 Title: HIGH SPEED BUS	02-Oct-1993 08-Mar-1994 30-Sep-1994 30-Nov-1994 30-Nov-1994 30-Dec-1994 29-Jun-1997 09-Feb-1998	Due Date	Matter No: PD90-0282CONT1 Title: HIGH SPEED BUS SYS
FINAL REJECTION APPEAL/CONTINUATION	Action	Ctry: US	OFFICE ACTION OFFICE ACTION POST GRANT TRANSACTIONS	Action	Ctry: EPO SYSTEM	OFFICE ACTION OFFICE ACTION APPEAL/BRIEF FINAL REJECTION APPEAL/CONTINUATION MISCELLANEOUS MISCELLANEOUS	Action	Ctry: US
00	Status	Resp Atto	იიი	Status	Resp Attorney:	0000000	Status	Resp Atto
14-Dec-1992 02-Mar-1993	Action Dated	Resp Attorney: MALONEY,	17-Oct-1996 03-Feb-1997 04-Sep-1997	Action Dated	rney: PACIULAN,	02-Jul-1993 08-Dec-1993 22-Dec-1994 30-Jun-1994 30-Jun-1994 29-Apr-1997 09-Dec-1997	Action Dated	Resp Attorney: MALONEY, DENIS
16-Feb-1993 12-Apr-1993	Response Mailed	DENIS App	11-Dec-1996 25-Feb-1997 01-Dec-1997	Response Mailed	, DICK Appl	04-Oct-1993 08-Apr-1994 30-Nov-1994	Response Mailed	DENIS Appl
PACIULAN PACIULAN	Docket Attorney	Application Attorney: O/S	SUHL SUHL NATH	Docket Attorney	plication Attorney:	PACIULAN MALONEY PAPPAS SUHL SUHL PAPPAS PAPPAS	Docket Attorney	plication Attorney: O/S
KENYON1	Docket Firm	<i>S</i> 3	DTd BTG BTC	Docket Firm		KENYON1 KENYON1 KENYON1 KENYON1 KENYON1	Docket Firm	· Λ

COMPUTER PACKAGES INC. 414 HUNGERFORD DR. #300 RDCKVILLE MD. 20850 U.S.A.	LIST OF ANNUITIES	DATE 15MAY97 #EPO DE
	FROM 01NOV96 TO 31JUN97	REPORT 020202
		PAGE
		ᄕ
	606 (. 6	# 0 0 6 6 6

YOUR REF.

GRAND TOTAL		PATENT NO.
1 79	91110755.5 91110755.5 91401783.3 91401784.1 92305985.8 92305986.4 92305986.6 92305986.6 92305986.6 92305986.6 92305986.6 92305988.3 88401689.0	PATENT NO. APPLICATION NO. PUBLICATION NO.
<u>-</u>	0464708 0464718 04639719 0463978 0520836 0522763 0523763 0523763 0523783 0523783 0523783 0523783 0523783 0523783	PUBLICATION NO
TOTAL	28JUN91 28JUN91 28JUN91 29JUN91 29JUN92 29JUN92 29JUN92 30JUN92 30JUN92 30JUN92 30JUN98	. DATE
	111 00-66666667777	YEAR
104,050	222111111111111111111111111111111111111	AMOUNT
	; ·	LATE FEE
	DIGITAL DIGITAL DIGITAL DIGITAL DIGITAL DIGITAL DIGITAL DIGITAL	FEE TITLE HOLDER
	EMEGUIPHENT EMEGUI	LDER
		_
	DE90-0282 DE90-0231 DE90-0331 DE91-0087 DE91-0193 DE91-0272 DE91-0273 DE91-0273 DE91-0277 DE91-0277 DE91-0277	OUR FILE

RECEIPT FROM EPO SHOWING THAT MAINTÉNANCE FEE WAS PAID ON APPLICATION NO. 91110755.5

ONE BROADWAY
NEW YORK, NEW YORK 10004
(212)425-7200
FAX: (212)425-5288

FACSIMILE COVER SHEET

TO:

Joanne Pappas, Esq.

Digital Equipment Corp.

CC:

Robert T. Tobin, Esq.

FAX NO:

1-978-493-9025

DATE:

March 4, 1998

FROM:

Jong H. Lee

SUBJECT:

Revival of PD90-0282 Cont. 1 (Our Ref. No.:17380/1206)

NO. OF PAGES INCLUDING COVER: 1

Please call (212)425-7200 Ext. if any pages were not received or are illegible.

MESSAGE:

Dear Ms. Pappas:

Further to our telephone conference of February 11, 1998, this is a reminder regarding the need to prepare an affidavit by Digital personnel(s) setting forth Digital's activity with respect to the above-referenced application from November 30, 1994 to the date of filing the petition to revive, as well as Digital's activity subsequent to the filing of the petition, including activities relating to licenses and payment of foreign patent taxes and maintenance fees.

I look forward to your reply.

Jong H/Lee

The information contained in this facsimile is attorney privileged and confidential information intended only for the use of the recipient named above. If you are not the intended recipient, or the person responsible to deliver it to the intended recipient, you are notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this facsimile in error, please immediately notify us by telephone, and return the original and all copies to us at the above address.

MEMORANDUM

TO:

FILE (17380/1206)

CC:

Robert T. Tobin

FROM:

Jong H. Lee

DATE:

February 19, 1998

RE:

Petition to Revive Abandoned U.S. Patent

Application Serial No. 08/047,164 for HIGH SPEED BUS SYSTEM (DEC case)

Our Ref. No.: 17380/1206

This memorandum summarizes my telephone conversation of February 11, 1998 with Joan Federici of Kenyon & Kenyon and Ms. Joanne Pappas and Ms. Joyce Lange of Digital Equipment Corp.

The following topics were discussed during the telephone conversation.

- 1. Ms. Pappas noted that Digital had record of maintenance fees having been paid for at least one foreign patent corresponding to the above-referenced U.S. application. Ms. Pappas stated that she would forward us a copy of the record relating to maintenance fees.
- 2. Ms. Pappas stated that neither she nor Ms. Lange had searched for any record of licensing or royalty-payment activities involving either the above-referenced U.S. application or foreign counterpart patents, but Ms. Pappas agreed to search for such records and inform Kenyon. & Kenyon of the search results.

3. Ms. Pappas agreed to prepare an affidavit setting forth Digital's activity relating to the above-referenced U.S. application in the time period from November 30, 1994 until May 13, 1997 and up to the date of filing the original petition, as well as the petition for reconsideration. I told Ms. Pappas that Kenyon & Kenyon would work with Digital in finalizing the affidavit.

4. Ms. Pappas agreed to provide Kenyon & Kenyon with copies of any docket entries relating to the above-referenced U.S. application.

J.H.L.

179721-1

KENYON & KENYON

ONE BROADWAY

NEW YORK, NEW YORK 10004

(212)425-7200

FAX: (212)425-5288

FACSIMILE COVER SHEET

TO:

Ms. Joyce Lange

FAX NO:

508-493-6985

DATE:

February 2, 1998

FROM:

Robert T. Tobin

SUBJECT:

17380/1233 (1206)

NO. OF PAGES INCLUDING COVER: 3

Please call (212)908-6455 if any pages were not received or are illegible.

MESSAGE:

The information contained in this facsimile is attorney privileged and confidential information intended only for the use of the recipient named above. If you are not the intended recipient, or the person responsible to deliver it to the intended recipient, you are notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this facsimile in error, please immediately notify us by telephone, and return the original and all copies to us at the above address.

LAW OFFICES OF

KENYON & KENYON

WASHINGTON, D.C. OFFICE 1025 CONNECTICUT AVENUE, N.W. WASHINGTON, D. C. 20036-5405 TELEPHONE (202) 429-1776 FACSIMILE (202) 429-0796

CALIFORNIA OFFICE
RIVERPARK TOWERS
333 WEST SAN CARLOS ST., SUITE 600
SAN JOSE, CA 95110
TELEPHONE (408) 287-2800
FACSIMILE (408) 287-2155

ONE BROADWAY

NEW YORK, N. Y. 10004

(212) 425-7200

FACSIMILE (212) 425-5288

EUROPEAN OFFICE

BOCKENHEIMER LANDSTRASSE 97-99

60325 FRANKFURT AM MAIN
FEDERAL REPUBLIC OF GERMANY
TELEPHONE (49) 1691 97 58 05 09

FACSIMILE (49) 1691 97 58 05 99

January 5, 1998

VIA FACSIMILE

Ms. Joyce Lange Digital Equipment Corporation 111 Powdermill Road - MS02 Maynard, Massachusetts 01754-1418

Re: U.S. Patent Application Serial No. 08/047,164

Your Ref. PD90-0282

Our Ref. 17380/1233 (1206)

Dear Joyce:

I have been talking to Joan Federici regarding the petition to revive the above-identified patent application.

In looking at the rules and reading the decision mailed on December 9, 1997, I believe we should petition for reconsideration and attempt to establish that it was abandoned unintentionally. In order to do this, we will need declarations and any documentary evidence that will establish petitioner's continuing intent to prosecute the invention covered by the application. I believe we will need to establish what Digital did in the time period from November 30, 1994 until May 13, 1997 and up to the date of filing the original petition, as well as the petition for reconsideration. We should include in this whatever documentary proof we can show that Digital prosecuted the counterparts in other countries and any other activities alluded to on pages 3 and 4 of the decision of December 9th on our petition to revive.

KENYON & KENYON

Ms. Joyce Lange February 2, 1998 Page 2

Perhaps the best way is for the responsible attorney at Digital to contact me to discuss how best to proceed and what documents we can put together, hopefully by February 9th. I would also note that in the decision it indicated that the time to respond was noted to be extendable under 37 CFR 1.136(a).

Sincerely,

Robert T. Tobin

cc: Jong H. Lee, Esq.
Joan E. Federici
Lorrie McArdle





Digital Equipment Corporation

111 Powdermill Road Maynard, Massachusetts USA 01754-1418

December 1, 1997

VIA FACSIMILE

Mr. Jürgen Betten
Betten & Resch
Patentanwalte
Reichenbachstrasse 19
(Gartnerplatz)
D-80469 München
GERMANY

RE: Notice of Intention to grant a Patent

European Patent Application No.: 91110755.5

Your Reference No.: DEC 154 EP B/SE Our Reference No.: PD 90-0282 EPO

Dear Jürgen:

We respond to your letter of September 4, 1997 and the communication under Rule 51 (4). We approve the text of the application on the basis of documents attached to the communication.

Please note that we wish to proceed with the national stage only in the following five (5) countries: **Germany, France, Italy, the Netherlands, and the United Kingdom.** Please see the attached listing of our selected national associates.

This letter authorizes you to prepare the German translation of the granted EPO claims and text and to take all steps necessary to effect the granted patent in Germany. The FRENCH TRANSLATION of the EPO claims will be prepared by our French associate and sent to you in good time.

In due time, please forward to the selected representatives a copy of any necessary forthcoming EPO communications, e.g., EPO Form 2006, and a copy of the final text as intended for grant only to those associates who must prepare their own translations.

Annuity payments: All annuity payments at grant of this application will be made by DIGITAL through CPI. All annuity payments for national patents after grant will also be made by CPI for DIGITAL.

و المنافقة المنافقة

Mr. Jürgen Betten Betten & Resch

All selected national representatives are asked to send their debit notes or invoices directly to us and to submit to us the particulars of the respective national patents.

By copy of this letter, all our local national associates are informed of this procedure.

If you have any concerns with the transition to the National phase, please notify us immediately.

Yours very truly,

Ram B. Nath

International Patent Consultant

Ram B. Nothyans

Patent Law Group

PS PLEASE SEND US BY FACSIMILE THE FORM 2006 FORM AS SOON AS RECEIVED SO THAT WE WILL KNOW THE DATE OF MENTION OF GRANT. THANK YOU.

RBN:rmj

Enclosures: Listing of National Associates

cc: Selected Local National Associates (Check address list) Joyce Lange/CPI Ann Skrzyniarz

				SYSTEM	Title: HIGH SPEED BUS SYSTEM	Titl	Docket No: PD90-0282CONT1
				2000			
PACTIII.AN	KENVONI			29Tun-1990	07/546.547	₽	SD
	HAAGSCH	08-Jan-1992	0474708	28-Jun-1991	91110755.5	н	NL
	NAKAMURA			11-Jun-1991	139187/91	×	d.
	STUDIO TOR	08-Jan-1992	0464708	28-Jun-1991	91110755.5	• н	1 t-
	KILBURN	08-Jan-1992	0474708	28-Jun-1991	91110755.5	ı H	: B
	CABINET	08-Jan-1997	0464708	28-Jun-1991	91110755.5	н	ייי (ייי (מייי
SUHL	BETTEN	08-Jan-1992	0464708	28-Jun-1991	91110755.5	(F)	E PO
	BETTEN	08-Jan-1992	0474708	28-Jun-1991	91110755.5	Н	
	SMART			16-May-1991	2,042,711	>	CA
	PHILLIPS	30-Aug-1993	636739	23-Apr-1991	75281/91	×	AU AU
				1 ! ! ! ! ! ! ! !			1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
Docket Attorney	Resp Firm	Date Issued	Patent Number	Date Filed	Application No.	ry Status	Applications ** Country
	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1				2140.00	
	Group Art Unit	/ Subclass Gro			USPTO Class / Subclass	Digital	Classifications **
	RAMANUJAN, SAMARAS, KELLER, STEWART,	S, K. S. Z.					
	DeROSA,	Inventors: De	ENIS	Resp Attorney: MALONEY, DENIS		Disc Status: C	Ctry Priority: US
				SYSTEM	Title: HIGH SPEED BUS SYSTEM	Titl	Docket No: PD90-0282

THE PROPERTY OF THE PROPERTY O			
Ctry Priority: US Disc Status: L Resp Attorney: MALONEY, DENIS Inventors: DeROS RAMAN SAMAR KELLE STEWA	Inventors:	Inventors: DeROSA, JOHN RAMANUJAN, RAJ SAMARAS, WILLIAM KELLER, JAMES STEWART, ROBERT	
USPTO Class / Subclass International Class / Su	l Class / Subclass G	Group Art Unit	
2140.00			
Patent Number Date Issued	_	Resp Firm	Docket Attorney
A 08/047,164 12-Apr-1993 K		KENYON1	PAPPAS

ัดเกลิกุกุลก

Corporate Law Department
Digital Equipment Corporation
111 Powdermill Road
Maynard, Massachusetts USA
01754-1499

fax

To Joan Fede	ruci)
Title	
Fax 212-425-5288	Phone
Total pages including cover 15	Date
From Jarge da	rge)
Title	DTN Fax 223-
Fax (508) 493-	DIN Fax 223
Phone (508) 493-3737	DTN 223-
Internet address First.Lastname@ms	o mts.dec.com

Message:

If you have any problems receiving this fax, please call

	•		
Phone	(508) 493-	DTN	223

The information contained in this facetimile message is confidential information and may be protected by legal privileges. It is intended only for the use of the person or entity named above. If you, the reader of this message are not the intended recipient, you are hereby notified that any dissemination, distribution, or copying of this communication is strictly prohibited. If you received this communication in error, please notify the sender immediately by telephone and return the original message via U.S. mail to the sender at the address set forth above. Thank you.

Digital Equipment Corporation 111 Powdermill Road Maynard, Massachusetts 01754-1499 508.493.5111

VIA FACSIMILE

May 13, 1997

digital

Joan E. Federici Kenyon & Kenyon One Broadway New York, NY 10004

RE:

U.S. Application Scrial No. 08/047,164

Your Ref: 17380/1206 Our Ref: PD90-0282Cont1

Dear Joan:

As a follow-up to our conversation today, this letter confirms that your firm will file a Petition to Revive for the above-referenced application. I have enclosed copies of the Response to the Final Office Action and a Petition for Extension of Time that were filed from our office.

Should your attorney have any questions, the responsible attorncy to contact at Digital is Joanne Pappas. She can be reached by telephone at 508-493-6951.

If I can be of further assistance, please let me know.

 \sim

Patent Administration

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Raj Ramanujan, et al.

Serial No.: 08/047,164

Group Art Unit: 2305

Filed: April 12, 1993

Examiner: Ray, G.

Title: High Speed Bus System

I hereby certify that this correspondence is being deposited with the United States Postal Service as FIRST CLASS MAIL in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on:

november 30 1994

m Carlia 7001 Signature

PETITION FOR EXTENSION OF TIME

Hon. Commissioner of Patents and Trademarks Washington, DC 20231

Sir:

Applicant hereby petitions for a two-month extension of time to respond to the outstanding Office Action, dated June 30, 1994. The new period for response will expire November 30, 1994.

The Commissioner is hereby authorized to charge the extension fee for this Petition to the Digital Equipment Corporation Deposit Account No.: 04-1079 in the amount of \$360.00. A duplicate copy of this sheet is enclosed.

In the event the requested extension of time is insufficient, consider this as a Petition for an extension for the required period and charge the fee required to Digital Equipment Corporation Deposit Account No.: 04-1079.

A response to the outstanding Office Action is being filed herewith.

Respectfully submitted,
DIGITAL EQUIPMENT CORPORATION

By:

DENIS G. MALONEY

Reg. No. 29,670

Attorney for Assignee

Date: November 30, 1994

Digital Equipment Corporation 111 Powdermill Road, MSO2-3/G3 Maynard, Massachusetts 01754-1499 (508) 493-9233

DGM: ds

Serial No.	08/047,164	Express	Label	No
File Date	April 12, 199	3		

Commissioner of Patents & Trademarks Washington, D.C. 20231

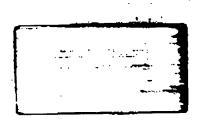
Docket No. pn90-0282
Please acknowledge receipt of the following by date stamping this card and returning it to the addressee.

Patent Application of: Raj Ramanujan, et al.

Title: High Speed Bus System

Assignment Specification Recording Fee No. of Claims _______ No. of Drawings Authorization to Charge Dep. Acct Declaration Priority Document Filing Fee Info. Disc. Stm. Prelim. Amend Req. for Reconsideration X Pet. for Ext. of Time X

11/30/94---jmc



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Raj Ramanujan, et al

Serial No.: 08/047,164

Group Art Unit: 2305

Filed: April 12, 1993

Examiner: G. Ray

Title: High Speed Bus System

I hereby certify that this correspondence is being deposited with the United States Postal Service as FIRST CLASS MAIL in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on:

> 17002 mher 30 1994 Date of mailing

, תנן Cortin.

Signature

Request for Reconsideration Pursuant to 37 C.F.R. § 1.116(a)

Hon. Commissioner of Patents and Trademarks Washington, DC 20231

Sir:

In response to the Final Office Action dated June 30, 1994, applicant respectfully requests reconsideration in accordance with the provisions of 37 C.F.R. §1.116(a) as follows.

AMENDMENT

In the Claims:

- 1. (Five times Amended) A bus system, comprising:
- (a) a plurality of bus elements, with each of the plurality of bus elements [selectively] making [a request] requests for access to at least one other bus element;
- (b) a central unit having a plurality of bus inputs and a plurality of bus outputs, with the central unit selectively coupling at least one of the inputs to at least one of the outputs, the central unit providing for an arbitrated, point-to-point coupling of a particular one of the plurality of bus elements with the at least one other bus element:
- (c) a first plurality of unidirectional pointto-point buses for coupling in a first direction the bus elements to the central unit bus inputs;
- (d) a second plurality of unidirectional pointto-point buses for coupling in a second direction each output of the central unit to a respective bus element; and
- (e) arbitration logic connected to the plurality of bus inputs of the central unit to which the first plural-

ity of unidirectional point-to-point buses connect, the arbitration logic for granting each of the bus elements access to the at least one other bus element through the central unit one at a time based upon the requests from the bus elements.

REMARKS

Reconsidered and reexamination are respectfully requested in the identified patent application.

The Examiner rejected claims 1-29 under 35 U.S.C. §112, second paragraph as being indefinite for failing to particularly point out and distinctly claim the subject matter of Applicant's invention.

Applicants have amended independent claim 1 to recite "a plurality of bus elements, with each of the plurality making a request for access to at least one other bus element". Thus, applicants have deleted "selectively".

Applicants maintain that as amended, claim 1, and dependant claims 2-29 now particularly point out and distinctly claim the subject matter of their invention under 35 U.S.C. §112, second paragraph and thus the rejection has been overcome.

The Examiner rejected claims 1-19, 30-35 and 37-39 under 35 U.S.C. §103 as being unpatentable over Rodiger et al. in view of Culler.

Applicant's claims 1-19, 30-35 and 37-39 are patentably distinct over the cited references. Applicant's claim 1 as amended, recites ... a plurality of bus elements, with each of the plurality of bus elements making requests for access to at least one other bus element ...

The Examiner states that the references "fails to expressly teach the limitation of one bus element making a request for access to at least one other bus element". Further, the Examiner's specific reasons why one skilled in the art would be motivated to apply the teachings of Rodiger and Culler does not address the basis of applicants' invention. The Examiner states that "it would be obvious to one of ordinary skill in the art at the time the invention was made to modify the system of Rodiger to include processor to processor communication...because it is well within the skill of an ordinary person to realize that outputs from a bus can be coupled to a memory and to it's associated processor (Culler teaches that in Fig. 6, elements 544, 548)". Applicants submit that Culler describes a bus arbitration system in which if a processor unit is denied access to the

system bus, and if there is no current conflict in requesting the processor unit's associated local memory, then a request to access the associated local memory, rather than the system bus, is granted. Applicants claim 1 recites on the other hand that the buses are coupled to the central unit via uni-directional buses (see the specification page 6 and claim 1 sections c and d). Therefore, claim 1 requires a unidirectional point-to-point connection to the target bus, rather than through the associated memory as in Culler, and thus has improved access to a target element.

Thus, claim 1 which further recites ... a first plurality of unidirectional point-to-point buses for coupling in a first direction the bus elements to the central unit bus inputs ..., nor ... a second plurality of unidirectional point-to-point buses for coupling in a second direction each output of the central unit to a respective bus element ... is neither described nor suggested by the combination of cited references.

The legal standard to be used when evaluating a claim under section 103 is to look at the teachings of the prior art as a whole for what they fairly teach, and neither the reference's general nor specific teachings may be ignored. The prior art must not only disclose each element of

the claim, but must also provide a suggestion or incentive to make the combination made by the inventor. Therefore, applicant's claim 1 is patentably distinct over the references since neither Rodiger nor Rodiger in combination with Culler describe or suggest the above mentioned claimed combination of unidirectional point-to-point buses coupled to a central unit.

Applicant's claims 2-19 are patentably distinct over the cited references for similar reasons as given above with reference to the independent claim upon which they are based.

Applicants maintain that claims 2-4 further limit claim 1 and are not simply a matter of design choice. Each of the three mentioned circuits, the state machine in claim 2, the OR gate in claim 3 and the multiplexer in claim 4, add further patentably distinct limitations to claim 1. For example, the state machine called for in claim 2 (see FIG.s 2 and 3, item 42) "stores the output for one cycle before providing it at it's output". (see specification page 9, Line 24-25). The OR gate called for in claim 3 (see FIG. 2, items 37 and 41) and the multiplexer called for in claim 4 (see FIG. 3, items 37 and 41) along with the logic element 50 provide alternative techniques to combine the point-to-

point signals (See page 5 lines 21-25 for the OR gate for example).

Similarly, applicants maintain that claims 5-19 provide patentably distinct features to Applicants claim 1 and are therefore also allowable over the cited references.

Applicant's claim 30 was rejected by the Examiner for the same reasons as claim 1. Claim 30 recites ... \underline{a} plurality of first uni-directional point-to-point buses, with one bus coupling each of the central processing units to an input of the combining logic ... a plurality of second uni-directional point-to-point buses coupling the output of the combining logic to the central processing units ... plus a ...plurality of central processing units ... and ...a shared memory ... plus a ... memory controller ... Therefore, Applicants maintain that claim 30 is patentably distinct over the cited references for similar reasons to those given above with reference to claim 1.

Applicants maintain that claims 31-32 further limit claim 30 and are not simply a matter of design choice. Each of the mentioned circuits, the combining logic in claim 31 and the multiplexer in claim 32, add further patentably The combining logic distinct limitations to claim 30. called for in claim 31 and the multiplexer called for in claim 32 provide alternative techniques to combine the

point-to-point signals. Therefore the applicant's believe that the Examiner's rejection is improper and should be removed.

entably distinct over the cited references because claim 33 recites ...coupling each of the bus elements to a <u>central</u> unit with a separate first <u>uni-directional bus...</u> As explained above with reference to claim 1, the cited references do not describe nor suggest the claimed combination of features.

Applicants maintain that dependent claims 34 and 35 are allowable as further limitations on claim 33 above, since claim 34 recites ...a plurality of central processing units and a shared memory. Claim 35 recites the method of ...selecting between the inputs on the first buses...and the bus from the memory.

Applicants maintain that dependent claims 37-39 add further patentably distinct limitations to the claims above, and are therefore allowable, Claim 37 limits claim 2 by providing an alternative technique to combine the point-to-point signals, namely a latch. Claims 38 and 39 limits claim 5 and 1 in a similar fashion and are therefore add patentably distinct limitations.

Applicants note that the Examiner did not reject claims 20-29 over the prior art, and objected to claim 36 as being dependant on a rejected base claim.

Applicants submit that in view of the above amendment and remarks, that claims 1-35 and 37-39 are patentably distinct over the references.

Therefore, reconsideration and re-examination of this application is respectfully requested since the amendment places the application in condition for allowance or better form for appeal by materially reducing the issues on appeal.

Respectfully submitted,

er Mulmes

Denis G. Maloney

Reg. No. 29,670

Attorney for Assignee

Date: November 30, 1994

Digital Equipment Corporation 111 Powdermill Road, MSO2-3/G3 Maynard, MA 01754-1499 (508) 493-9233

DGM/ds

LAW OFFICES OF

KENYON & KENYON

WASHINGTON. D.C. OFFICE 1025 CONNECTICUT AVENUE, N.W. WASHINGTON. D. C. 20036-5405 TELEPHONE 12021 429-1776 FACSIMILE (202) 429-0796

CALIFORNIA OFFICE
RIVERPARK TOWERS
333 WEST SAN CARLOS ST., SUITE 600
SAN JOSE, CA 95110
TELEPHONE (408) 287-2800
FACSIMILE (408) 287-2155

ONE BROADWAY

NEW YORK, N. Y. 10004

(212) 425-7200

FACSIMILE (212) 425-5288

EUROPEAN OFFICE

BOCKENHEIMER LANDSTRASSE 97-99

GO325 FRANKFURT AM MAIN

FEDERAL REPUBLIC OF GERMANY

TELEPHONE (49) (69) 97 58 05 09

FACSIMILE (49) (69) 97 58 05 99

December 31, 1997

VIA FEDERAL EXPRESS

Ms. Joyce Lange DIGITAL EQUIPMENT CORPORATION MS02-3/G3 111 Powdermill Road - MS02 Maynard, MA 01754-1418

Re: U.S. Patent Application Serial No. 08/047,164

Your Ref.: PD-90-0282 Our Ref. 17380/1233 (1206)

Dear Joyce:

We have recently received a response mailed December 9, 1997 in connection with the above-identified patent application. Enclosed please find a copy of the petition to waive one year requirement and revive the application. The petition was dismissed.

A request for reconsideration must be submitted two months from December 9, 1997, <u>i.e.</u>, February 9, 1998 (extensions of time are permitted). Please let us have your instructions with regard to this matter as soon as possible.

Very truly yours,

KENYON & KENYON

Joan E. Federici

Legal Assistant to

Robert T. Tobin

Enclosure

cc: Robert T. Tobin, Esq.

Digital Equipment Corporation 111 Powdermill Road Maynard, Massachusetts 01754-1499 508.493.5111

VIA FACSIMILE

May 13, 1997

digital

CONTINUATION COPY

Joan E. Federici Kenyon & Kenyon One Broadway New York, NY 10004

RE:

U.S. Application Serial No. 08/047,164

Your Ref: 17380/1206 Our Ref: PD90-0282Cont1

Dear Joan:

As a follow-up to our conversation today, this letter confirms that your firm will file a Petition to Revive for the above-referenced application. I have enclosed copies of the Response to the Final Office Action and a Petition for Extension of Time that were filed from our office.

Should your attorney have any questions, the responsible attorney to contact at Digital is Joanne Pappas. She can be reached by telephone at 508-493-6951.

If I can be of further assistance, please let me know.

Very truly yours,

Joyce D. Lange

Patent Administration

Digital Equipment poration 111 Powdermill Road Maynard, Massachusetts 01754-1499 508.493.5111





VIA FACSIMILE - ORIGINAL MAILED (212) 425-5288

September 7, 1994

Kenyon & Kenyon Attorneys at Law One Broadway New York, N.Y. 10004

Attention: <u>Joan E. Federici</u>

Subject: Digital Ref.: PD90-0282 CONT1

U.S.S.N. 08/047,164 Your Ref.: 17380/1206

Dear Joan,

Regarding our letter of July 28, 1994 which is in response to your letter of July 15, 1994, addressed to Denis G. Maloney, Digital has elected to handle this matter directly. Therefore, all correspondence in connection with the above-mentioned case should be forwarded directly to Digital at the above address. Digital will file a change of correspondence address form in the U.S.P.T.O.

If you have any questions, please do not hesitate to contact me at (508) 493-9233.

Sincerely,

DENIS G. MALONEY
Senior Patent Attorney

DS:mrb

AFFIDAVIT

of Joan E. Federici in Support of Digital Equipment Corporation's RENEWED PETITION UNDER 37 C.F.R. §§ 1.183 AND 1.137(b) TO REVIVE AN UNINTENTIONALLY ABANDONED APPLICATION

State of New York)
`) ss:
County of New York)

I, Joan E. Federici, being duly sworn, depose and say:

- 1. I have personal knowledge of the facts stated herein and make this statement in support of Digital Equipment Corporation's ("Digital") "RENEWED PETITION UNDER 37 C.F.R. §§ 1.183 AND 1.137(b) TO REVIVE AN UNINTENTIONALLY ABANDONED APPLICATION" filed herewith for revival of abandoned U.S. patent application no. 08/047,164.
- 2. I am a paralegal at Kenyon & Kenyon and have been employed in this position since September 1993.
- 3. On or about June 1994, as part of my job responsibilities at Kenyon & Kenyon, I was assigned the task of overseeing Digital's United States and foreign patent applications, which task included reporting all official actions received from the U.S. Patent & Trademark Office (the "PTO") concerning Digital's applications to my counterpart at Digital, Ms. Joyce Lange, a legal assistant in Digital's patent law group.

- 4. In connection with my responsibilities outlined in paragraph 3, Kenyon & Kenyon instituted a procedure whereby: a) Kenyon & Kenyon's docket department was to send all Office Actions from the PTO concerning Digital's U.S. patent applications to me; b) I was to report the received Office Actions to Digital; and c) upon receipt of Digital's authorization to proceed with preparation and filing of a response to the Office Action, I was to send the application file to the Kenyon & Kenyon attorney responsible for preparing and filing the response.
- 5. A final Office Action on U.S. patent application no. 08/047,164 was received by Kenyon & Kenyon on July 5, 1994, and I reported the final Office Action to "Dennis G. Maloney, Esq., c/o Ms. Joyce Lange" of Digital in a letter dated July 15, 1994. At this time, Mr. Maloney was the in-house attorney at Digital responsible for handling U.S. patent application no. 08/047,164.
- 6. In a letter dated September 7, 1994, Mr. Maloney advised me that Digital would directly handle the prosecution of the above-identified application, and that Digital would file a change of address form in the PTO.
- 7. Soon after receiving Mr. Maloney's letter of September 7, 1994, I verbally notified Kenyon & Kenyon's docket department that Digital would be solely responsible for handling U.S. patent application no. 08/047,164.
- 8. Subsequent to my notification described in paragraph 7, I placed the notation "Client Will Handle" on the file cover for U.S. patent application no.

08/047,164, and the docket department closed the file. The notation "Client Will Handle" was written shortly after September 7, 1994, since it was normal Kenyon & Kenyon practice around this time to indicate on the file cover such instruction from the client within a short time of receiving the instruction.

- 9. Subsequent to Mr. Maloney's letter dated September 7, 1994, there was no further communication between Digital and Kenyon & Kenyon concerning U.S. patent application no. 08/047,164 until about April 29, 1997.
- 10. On November 30, 1994, Mr. Maloney of Digital submitted to the PTO a response to the June 30, 1994 Office Action, along with a request for a 2-month extension of time to reply to the Office Action. However, no change of address or substitute power of attorney was filed. Furthermore, Kenyon & Kenyon did not receive a copy of the response and the request for an extension time filed on November 30, 1994, until on or about May 13, 1997.
- 11. In response to Mr. Maloney's submission dated November 30, 1994, the PTO issued an Advisory Action dated December 22, 1994, which was sent to Kenyon & Kenyon.
- 12. On February 1, 1995, the PTO issued a Notice of Abandonment for the above-identified application, which Notice was sent to Kenyon & Kenyon.

- 13. Kenyon & Kenyon's docketing department never forwarded the Advisory Action or the subsequent Notice of Abandonment to me, and I never forwarded either of these documents to Digital.
- Digital had assumed all responsibility for the prosecution of the application and was aware of the actions from the PTO, it did not forward the Advisory Action or the subsequent Notice of Abandonment to Digital, and Digital never received the Advisory Action and the Notice of Abandonment. Kenyon & Kenyon did not forward the Advisory Action and the Notice of Abandonment because the notation on the file cover stated "Client Will Handle" and the docketing department personnel did not know that a change of correspondence address and a substitute power of attorney had not been filed by Digital.
- 15. On or about April 29, 1997, I received a telephone inquiry from Joyce Lange regarding the status of U.S. patent application no. 08/047,164.
- 16. In response to Joyce Lange's inquiry, I immediately checked Kenyon & Kenyon's computer database and discovered that the PTO had issued the Notice of Abandonment dated February 1, 1995 for patent application no. 08/047,164.
- 17. On or about April 29, 1997, I notified Joyce Lange that the Advisory Action dated December 22, 1994, as well as the Notice of Abandonment

dated February 1, 1995, had been issued by the PTO in connection with U.S. patent application no. 08/047,164.

- 18. On or about May 13, 1997, Joyce Lange requested that we proceed with the preparation of a Petition to Revive the abandoned U.S. patent application no. 08/047,164, which request is confirmed in her letter dated May 13, 1997.
- 19. Within a few days after I received Joyce Lange's letter dated May 13, 1997, I requested Jong H. Lee, an attorney at Kenyon & Kenyon, to prepare the Petition to Revive the abandoned U.S. patent application no. 08/047,164, as well as accompanying documents required under the rules.
- 20. I never received instructions from either Digital or Kenyon & Kenyon attorneys to abandon U.S. patent application no. 08/047,164, and I believe that this application was unintentionally abandoned through inadvertence, based upon assumptions as to what Kenyon & Kenyon was required to do and what Digital was doing as a consequence of Digital's communications to Kenyon & Kenyon.

I declare under penalty of perjury that the foregoing is true and correct to the best of my knowledge and belief.

Executed on May 14, 1998.

Joan E Federici

State of New York

) ss:

County of New York)

Sworn to before me on May 14, 1998,

Notary Public's Signature

CONCETTA SILER
Notary Public, State of New York
No. 01SI4619741
Qualified in Queens County
Commission Expires Feb. 28, 2000

192348

AFFIDAVIT

of Joyce Lange in Support of Digital Equipment Corporation's RENEWED PETITION UNDER 37 C.F.R. §§ 1.183 AND 1.137(b) TO REVIVE AN UNINTENTIONALLY ABANDONED APPLICATION

State of Massachusetts)
) ss
County of Middlesex)

- I, Joyce Lange, being duly sworn, depose and say:
- 1. I have personal knowledge of the facts stated herein and make this statement in support of Digital Equipment Corporation's ("Digital") "RENEWED PETITION UNDER 37 C.F.R. §§ 1.183 AND 1.137(b) TO REVIVE AN UNINTENTIONALLY ABANDONED APPLICATION" filed herewith for revival of abandoned U.S. patent application no. 08/047,164.
- 2. I am a legal assistant at Digital's patent law group and have been employed in this position since 1985.
- 3. On or about June 1994, my job responsibilities at Digital included the task of overseeing Digital's United States and foreign patent applications, which task included reviewing all reporting letters sent by Kenyon & Kenyon regarding official actions received from U.S. Patent & Trademark Office (the "PTO") in connection with Digital's applications.
- 4. In connection with my responsibilities outlined in paragraph 3, Digital had agreed with Kenyon & Kenyon to proceed as follows: a) Kenyon &

Kenyon's docket department was to send all Office Actions from the PTO concerning Digital's U.S. patent applications to Ms. Joanne Federici, a paralegal at Kenyon & Kenyon; b) Ms. Federici was to report the received Office Actions to Digital; c) I was to forward the reported Office Action to the Digital attorney assigned to handle the application; d) upon obtaining the Digital attorney's approval to proceed with preparation and filing of a response to the Office Action, I was to notify Ms. Federici of this approval; and e) upon receipt of Digital's authorization to proceed with preparation and filing of a response to the Office Action, Ms. Federici was to send the application file to the Kenyon & Kenyon attorney responsible for preparing and filing the response.

- 5. A final Office Action (dated June 30, 1994) on U.S. patent application no. 08/047,164 was received by Kenyon & Kenyon on July 5, 1994, and Ms. Federici reported this Office Action to Digital in a letter dated July 15, 1994, and addressed to "Dennis G. Maloney, Esq., c/o Ms. Joyce Lange" of Digital. At this time, Mr. Maloney was the in-house attorney at Digital responsible for handling U.S. patent application no. 08/047,164. To the best of my knowledge, Mr. Maloney left Digital in early 1997.
- 6. In a letter dated September 7, 1994, Mr. Maloney advised Ms. Federici that Digital would directly handle the prosecution of the above-identified application, and that Digital would file a change of address form in the PTO.

- 7. On November 30, 1994, Mr. Maloney of Digital submitted to the PTO a response to the June 30, 1994 Office Action, along with a request for a 2-month extension of time to reply to the Office Action. However, no change of correspondence address was made at this time or at any subsequent time, and the need to file a notice of change of correspondence address was never docketed, as evidenced by the absence of any such docket entry in the docket history report. (See Exhibit A, row "A"). I cannot explain why this occurred.
- 8. With respect to U.S. patent application no. 08/047,164, identified internally at Digital by reference notation "PD90-0282CONT1," Digital's computerized docket database includes an "APPEAL/CONTINUATION" due-date entry for December 30, 1994. (See Exhibit A, row "A").
- 9. Mr. David Suhl is listed as the "Docket Attorney" for the "APPEAL/CONTINUATION" due-date entry for December 30, 1994. (See Exhibit A, row "A"). Around December of 1994, Mr. Suhl was a law student working at Digital's patent law group as a "patent associate."
- 10. Although Mr. Suhl is listed as the "Docket Attorney" for the "APPEAL/CONTINUATION" due-date entry for December 30, 1994, Mr. Maloney was responsible for making the decision regarding the course of action in response to the "APPEAL/CONTINUATION" due-date entry.

- "APPEAL/CONTINUATION" due-date entry for December 30, 1994 was entered into the database on July 21, 1994 (See Exhibit B, "DATE_ENTERED" notation), and that the "APPEAL/CONTINUATION" due-date entry was "closed" (see Exhibit B, "C" notation next to "Action Status" entry) on June 25, 1996.
- 12. Subsequent to Mr. Maloney's letter dated September 7, 1994 to Ms. Federici of Kenyon & Kenyon, there was no further communication between Digital and Kenyon & Kenyon concerning U.S. patent application no. 08/047,164 until about April 29, 1997.
- 13. On or about April 29, 1997, as part of a routine docket check, I telephoned Ms. Federici of Kenyon & Kenyon regarding U.S. patent application no. 08/047,164. In response to my inquiry, Ms. Federici checked Kenyon & Kenyon's computer database for information concerning U.S. patent application no. 08/047,164 and informed me that an Advisory Action dated December 22, 1994, as well as a Notice of Abandonment dated February 1, 1995, had been issued by the PTO.
- 14. On or about May 13, 1997, after discussions with attorneys at Digital's patent law group, I requested Ms. Federici to have Kenyon & Kenyon prepare a Petition to Revive the abandoned U.S. patent application no. 08/047,164, which request is reflected in my letter dated May 13, 1997.

- 15. From May 13, 1997, until June 26, 1997, I was in contact with Jong H. Lee, an attorney at Kenyon & Kenyon, for the purpose of preparing the Petition to Revive the abandoned U.S. patent application no. 08/047,164 as well as the accompanying documents required under the rules.
- 16. Digital did not receive the Advisory Action issued by the PTO on December 22, 1994, and Digital did not have knowledge of the Advisory Action until about April 29, 1997.
- 17. With respect to U.S. patent application no. 08/047,164 (Digital reference notation "PD90-0282CONT1"), Digital's computerized docket database includes an "APPEAL/BRIEF" due-date entry for September 30, 1994, in response to an Office Action dated December 22, 1994. (See Exhibit A, row "A").
- 18. Digital's computerized docket database also indicates that the "APPEAL/BRIEF" due-date entry for September 30, 1994 was entered into the database on April 29, 1997 (See Exhibit C, "DATE_ENTERED" notation), and that the "APPEAL/BRIEF" due-date entry has "open" status (see Exhibit C, "O" notation next to "Action Status" entry).
- 19. Digital did not receive the Notice of Abandonment issued by the PTO on February 1, 1995, and Digital did not have knowledge of the Notice of Abandonment until about April 29, 1997.

- 20. I never received instructions from anyone to abandon U.S. patent application no. 08/047,164, and to the best of my knowledge, no instruction was given by anyone to abandon U.S. patent application no. 08/047,164.
- 21. Neither Mr. Maloney nor anyone else intended to abandon U.S. patent application no. 08/047,164, and I believe that this application was unintentionally and inadvertently abandoned. Had U.S. patent application no. 08/047,164 been abandoned intentionally, the normal docketing procedure would have dictated that the "APPEAL/CONTINUATION" due-date entry be "closed" on or about December 30, 1994, rather than approximately 18 months later, on June 25, 1996.
- 22. The "APPEAL/CONTINUATION" due-date entry was "closed" on June 25, 1996 because no action had been taken in response to this due-date entry for approximately 18 months after the due-date, and the normal docketing procedure would have dictated "closing" of any due date for which no response had been made for approximately 18 months after the due date.
- 23. When the "APPEAL/ CONTINUATION" due-date entry was "closed" on June 25, 1996, no one at Digital's patent law group had any knowledge that U.S. patent application no. 08/047,164 had been abandoned.

I declare under penalty of perjury that the foregoing is true and correct to the best of my knowledge and belief.

Executed on May 15, 1998.

Jayre J. Jange

Joyce Lange

State of Massachusetts)

ss:

County of Middlesex)

193409

Sworn to before me on May 15, 1998

Wyn Nu

RAQUEL M. JANDROW

Notary Public

My Commission Expires January 31, 2003

ROW A

Matter No: PD90-0282CONT1	Ctry: US	Resp Atto	Resp Attorney: MALONEY,	DENIS	Application Attorney: O/S		
Title: HIGH SPEED BUS SYSTEM	S SYSTEM						
Due Date	Action	Status	Action Dated	Response Mailed	Docket Attorney	Docket Firm	
02-Oct-1993 08-Mar-1994	OFFICE ACTION	00	02-Jul-1993 08-Dec-1993	04-Oct-1993 08-Apr-1994	PACIULAN MALONEY	KENYON1	
30-Sep-1994	APPEAL/BRIEF	0 0	22-Dec-1994	08-Apr-1994	PAPPAS	KENYON1	
30-Nov-1994 30-Dec-1994	APPEAL /CONTINUE TON	റ	30-Jun-1994	30-Nov-1994	SUHL	PLG	
29-Jun-1997	MISCELLANEOUS	o (30-Jun-1994		SUHL	o la	
09-Feb-1998	MISCELLANEOUS	00	09-Dec-1997		PAPPAS	KENYON1	
Matter No: PD90-0282	Ctry: EPO	Resp Atto	Resp Attorney: PACIULAN, DICK		Application Attorney:		
Title: HIGH SPEED BUS	SYSTEM		,				
Due Date	Action	Status	Action Dated	e Mai	Docket Attorney	Docket Firm	
17-Jan-1997 17-Mar-1997	OFFICE ACTION	a	17-oct-1996	11-Dec-1996	SUHL	PLG	
02-Dec-1997	POST GRANT TRANSACTIONS	იი	03-Feb-1997 04-Sep-1997	25-Feb-1997 01-Dec-1997	SUHL	PTC btc	
Matter No: PD90-0282	Ctry: US	Resp Atto	Resp Attorney: MALONEY,	DENIS App	Application Attorney: O/S		
Title: HIGH SPEED BUS	S SYSTEM						
Due Date	Action	Status	Action Dated	Response Mailed	Docket Attorney	Docket Firm	
14-Mar-1993 14-Mar-1993	FINAL REJECTION APPEAL/CONTINUATION	იი	14-Dec-1992 02-Mar-1993	16-Feb-1993 12-Apr-1993	PACTULAN	KENYON1	

Docket Attorney: Action Status: CHANGED_ID: Matter Number: Remarks: Country Code: Country Name: DATE_CHANGED: TIME_CHANGED: TIME_ENTERED: DATE_ENTERED: Action Dated: Docket Firm: Action Name: ENTERED ID: Matter ID: Due Date: Action: UNITED STATES 25-Jun-1996 21-Jul-1994 WILLETTE
PD90-0282CONT1US
PD90-0282CONT1
6 MONTH DATE/NO EXTENSION THUS APPEAL/CONTINUATION 30-Dec-1994 999999 Sa DEVLIN 30-Jun-1994 APP/CON 150117 145743

5

Report 4/15/98 3:43:12 PM

Action: APP/BR

Action Dated: 22-Dec-1994 Action Name: APPEAL/BRIEF

Action Status: 0

CHANGED_ID: DEVLIN Country Code: US

Country Name: UNITED STATES 24-Mar-1998 DATE CHANGED: DATE ENTERED: 29-Apr-1997

Docket Attorney: 0/S Docket Firm: 32

Due Date: 30-Sep-1994

ENTERED_ID: DEVLIN
Matter ID: PD90-0282CONT1US
Matter Number: PD90-0282CONT1

Remarks: KENYON & KENYON IS HANDLING CASE WILL PETITION FOR TO

REVIVE CASE (PAPPAS)

TIME_CHANGED: 95854 TIME_ENTERED: 143602 UID: 10447

AFFIDAVIT

of David Suhl in Support of Digital Equipment Corporation's RENEWED PETITION UNDER 37 C.F.R. §§ 1.183 AND 1.137(b) TO REVIVE AN UNINTENTIONALLY ABANDONED APPLICATION

State of Massachusetts)
) ss:
County of Middlesex)

- I, David Suhl, being duly sworn, depose and say:
- 1. I have personal knowledge of the facts stated herein and make this statement in support of Digital Equipment Corporation's ("Digital") "RENEWED PETITION UNDER 37 C.F.R. §§ 1.183 AND 1.137(b) TO REVIVE AN UNINTENTIONALLY ABANDONED APPLICATION" filed herewith for revival of abandoned U.S. patent application no. 08/047,164.
 - 2. I am a patent attorney at Digital's patent law group.
- 3. On or about June 1994, I was a law student employed at Digital's patent law group as a "patent associate," and my job responsibilities included assisting Mr. Dennis Maloney, an in-house attorney at Digital, in the prosecution of Digital's United States and foreign patent applications.
- 4. In connection with my responsibilities outlined in paragraph 3, I was assigned to assist Mr. Maloney in handling U.S. patent application no. 08/047,164.

- 5. A final Office Action (dated June 30, 1994) on U.S. patent application no. 08/047,164 was received by Kenyon & Kenyon on July 5, 1994, and Ms. Federici reported this Office Action to Digital in a letter dated July 15, 1994, and addressed to "Dennis G. Maloney, Esq., c/o Ms. Joyce Lange" of Digital.
- 6. In a letter dated September 7, 1994, Mr. Maloney advised Ms. Federici that Digital would directly handle the prosecution of U.S. patent application no. 08/047,164, and that Digital would file a change of address form in the PTO.
- 7. With respect to U.S. patent application no. 08/047,164, identified internally at Digital by reference notation "PD90-0282CONT1," Digital's computerized docket database includes a "FINAL REJECTION" due-date entry for November 30, 1994. (See Exhibit A, row "A").
- 8. Although I am listed as the "Docket Attorney" for the "FINAL REJECTION" due-date entry for November 30, 1994 (See Exhibit A, row "A"), Mr. Maloney was responsible for making the decision regarding the course of action in response to the "FINAL REJECTION" due-date entry.
- 9. Digital's computerized docket database indicates that the "FINAL REJECTION" due-date entry for November 30, 1994 was entered into the database on July 21, 1994 (see Exhibit B, "DATE_ENTERED" notation), and that the "FINAL REJECTION" due-date entry was "closed" (see Exhibit B, "C" notation next to "Action Status" entry) on December 2, 1994.

- 10. On November 30, 1994, Mr. Maloney submitted to the PTO a response to the June 30, 1994 Office Action, along with a request for a 2-month extension of time to reply to the Office Action. However, no change of correspondence address was made at this time or at any subsequent time, and the need to file a notice of change of correspondence address was never docketed, as evidenced by the absence of any such docket entry in the docket history report. (See Exhibit A, row "A").
- 11. With respect to U.S. patent application no. 08/047,164 ("PD90-0282CONT1"), Digital's computerized docket database includes an "APPEAL/CONTINUATION" due-date entry for December 30, 1994. (See Exhibit A, row "A").
- 12. Although I am listed as the "Docket Attorney" for the "APPEAL/CONTINUATION" due-date entry for December 30, 1994 (See Exhibit A, row "A"), Mr. Maloney was responsible for making the decision regarding the course of action in response to the "APPEAL/CONTINUATION" due-date entry.
- 13. Digital's computerized docket database indicates that the "APPEAL/CONTINUATION" due-date entry for December 30, 1994 was entered into the database on July 21, 1994 (see Exhibit C, "DATE_ENTERED" notation), and that the "APPEAL/CONTINUATION" due-date entry was "closed" (see Exhibit C, "C" notation next to "Action Status" entry) on June 25, 1996.

- 14. Subsequent to Mr. Maloney's letter dated September 7, 1994 to Ms. Federici of Kenyon & Kenyon, neither I nor Mr. Maloney had any communication with Kenyon & Kenyon concerning U.S. patent application no. 08/047,164.
- 15. Mr. Maloney inadvertently and unintentionally overlooked the "APPEAL/CONTINUATION" due-date entry for December 30, 1994, at least in part because the Advisory Action dated December 22, 1994 was never received by Digital.
- abandon U.S. patent application no. 08/047,164. Had Mr. Maloney intentionally abandoned U.S. patent application no. 08/047,164, I believe that the "APPEAL/CONTINUATION" due-date entry would have been "closed" on or about December 30, 1994, rather than approximately 18 months later, on June 25, 1996.
- 17. The "APPEAL/CONTINUATION" due-date entry was "closed" on June 25, 1996 because no action had been taken in response to this due-date entry for approximately 18 months after the due-date.
- 18. When the "APPEAL/ CONTINUATION" due-date entry was "closed" on June 25, 1996, no one at Digital's patent law group had any knowledge that U.S. patent application no. 08/047,164 had become abandoned.
 - 19. Mr. Maloney left Digital in early 1997.

I declare under penalty of perjury that the foregoing is true and correct to the best of my knowledge and belief.

Executed on May <u>15</u>, 1998.

David Suhl

State of Massachusetts)

Sworn to before me on May 15, 1998,

) ss: County of Middlesex)

Masie R. Benutte
Notary Public's Signature

193832

Matter No: PD90-0282CONT1	CONT1 Ctry: US	Resp Atto	Resp Attorney: MALONEY, DENIS		Application Attorney: 0/S	
Title: HIGH SPEED BUS	S SYSTEM					
Due Date	Action	Status	Action Dated	Response Mailed	Docket Attorney	Docket Firm
02-024-1983		1 1 1 1		1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	
00 400 1001	OFFICE ACTION	ဂ	02-Jul-1993	04-Oct-1993	PACIULAN	KENYON1
20 Car 1994	OFFICE ACTION	ი	08-Dec-1993	08-Apr-1994	MALONEY	KENYON1
30-Sep-1994	APPEAU/BRIEF	0	22-Dec-1994	# I	PAPPAS	KENYON1
30-Nov-1994	FINAL REJECTION	റ	30-Jun-1994	30-Nov-1994	SIHI.	PLG
30-Dec-1994	APPEAL/CONTINUATION	n -	30-,Tun-1994	***************************************	SILINI	o la
29-Jun-1997	MISCELLANFORE) (00 0011 1001		3071	7 10
09-Feb-1998	MISCELLANFORS	0 0	29-Apr-1997		PAPPAS	PLG
		c	03-DEC-T33/		PAPPAS	KENYONI
Matter No: PD90-0282	Ctry: EPO	Resp Atto	Resp Attorney: PACIULAN DICK		Application Attorney	
	•	7			ricacion accorney.	
Title: HIGH SPEED BUS SYSTEM	S SYSTEM					
Due Date	Action	Status	Action Dated	Response Mailed	Docket Attorney	Docket Firm
17-Jan-1997	Œ	֓֞֜֜֜֜֝֜֝֜֜֜֝֝֞֜֜֜֝֝֓֜֝֞֜֜֜֝֞֜֜֜֝֡֓֓֓֞֝֞֜֜֜֝֡֡֡֜֜֝֡֡֜֜֝	17-024 1006	11 11 11 11 11 11 11		
17-Mar-1997	OFFICE ACTION) (03-506-1007	35 55 1887	SUMM	PLG.
02-Dec-1997	POST CBANT	۰ (03-140-1997	25-Feb-1997	SUAL	PLG
	TRANSACTIONS	C	04-Sep-1997	01-Dec-1997	NATH	PLG
Matter No: PD90-0282	Ctry: US	Resp Atto	Resp Attorney: MALONEY, DENIS		Application Attorney: O/S	
tle. utcu cama a						
Title: HIGH SPEED BUS	S SYSTEM					
Due Date	Action	Status	Action Dated	Response Mailed	Docket Attorney	Docket Firm
				Response narred	Docker Accorney	DOCKEC LIFT
14-Mar-1993 14-Mar-1993	FINAL REJECTION APPEAL/CONTINUATION	იი	14-Dec-1992 02-Mar-1993	16-Feb-1993 12-Apr-1993	PACIULAN	KENYON1

DOCKET REPORT ENTRY - PD90-0282CONT1 04/16/98 9:25:57 AM

Docket Attorney:
Docket Firm:
Due Date:
BNTERED_ID:
Matter ID:
Matter Number:
Response Mailed Date: Action Name:
Action Status:
CHANGED_ID:
Country Code:
Country Name:
DATE_CHANGED: TIME_CHANGED: TIME_BNTERED: DATE BNTERED: Action Dated: Action: UNITED STATES 02-Dec-1994 21-Jul-1994 9999999 Jhus WILLETTE
PD90-0282CONT1US
PD90-0282CONT1 94953 SKRZYNIA FINAL REJECTION OA/FINAL 150052 30-Nov-1994 30-Nov-1994 30-Jun-1994

4



Patentanwälte European Patent Attorneys Europ. Trademark Attorneys

Dipl.-Ing. Jürgen Betten Dipl.-Phys. Michael Resch

Reichenbachstrasse 19 Telefax 0 89 - 2 60 78 96

Patents · Trademarks · Designs Computer Program Protection

DIGITAL EQUIPMENT CORPORATION Ram Nath International Patent Consultant Patent Law Group 111 Powdermill Road Maynard, MA 01754-1499 U.S.A.

February 20, 1998

European Patent Application No. 91110755.5 "High speed bus system" DIGITAL EQUIPMENT CORPORATION

Your Ref.:

PD90-0282

Our File:

DEC 154 EP B/SE

FILING

Dear Ram,

According to our letter of December 16, 1997 we have filed the German and French translations of the claims and paid the fees for grant and printing in time.

Please find enclosed a copy of our *letter to the EPO together with the translations.

For our services we take the liberty of enclosing our *debit note.

Yours sincerely,

スピCEIVED

LAW DEPT-PLG

FEB 2 5 1998

FILE . ATTY__

*Enclosures:

letter to the EPO with enclosures

debit note





Patentanwälte European Patent Attorneys Europ. Trademark Attorneys

Dipl.-Ing. Jürgen Betten Dipl.-Phys. Michael Resch

Reichenbachstrasse 19 D-80469 München **5** 0 39 - 2 60 30 38 Telefax 10 39 - 2 60 73 36

Patents · Tracemarks · Designs Computer Program Protection

European Patent Office

80298 Munich

February 20, 1998

European Patent Application No. 91110755.5
"High speed bus system"
DIGITAL EQUIPMENT CORPORATION
Our File: DEC 154 EP B/SE

CLAIMS AND FEES FOR GRANTING

In response to the Communication under Rule 51(6) EPC

Enclosed please find a *German and French translation of the claims.

The following fees will be paid by collective remittance:

Fee for grant	DM	1400,
Fee for printing	DM	440,
Fee for claims	DM	,
Ref. 058	DM	10,
	DM	1850,

Jürgen Betten

*Enclosures:

- German claims (2)
- French claims (2)

========

cabinet malémont

42. Avenue du Président Wilson

F 75116 PARIS

Téléphone Facsimilé

: 01 47 04 68 68 : 01 47 27 83 40 : 01 47 04 68 99

t CHAUCHARD

Ingénieur É T.P PRESIDENT

Claude CHAMEROY

Ingenieur E.S.M.E Diplômé C.E I.P.I. ADMINISTRATEUR MANDATAIRE EN BREVETS EUROPEENS EUROPEAN PATENT ATTORNEY

Robert LEMOINE

Ingenieur E.S.L. Diplômé C.E.I PI. ADMINISTRATEUR MANDATAIRE EN BREVETS EUROPEENS EUROPEAN PATENT ATTORNEY

Jean-Paul KEDINGER

Docteur en Chimie Diplômé C.E.I P.I. AOMINISTRATEUR MANDATAIRE EN BREVETS EUROPEENS EUROPEAN PATENT ATTORNEY

Marc L. CHAUCHARD

Conce electronique E.E.A. Diplôme I.S.A Diplôme C.E.I.RI BREVETS ADMINISTRATEUR Conseil en propriété industrielle (marques)

CONSEILS EN PROPRIETE INDUSTRIELLE MANDATARES EN MARQUES COMMUNAUTAIRES COMMUNITY TRADEMARK ATTORNEYS

Issbelle OUDARD

Diplômee i S.I.T Bernadette ROUAUD

Diplômee ! S.I T.

Robert J. LECIGNE SECRETAIRE GENERAL Messrs BETTEN & RESC Reichenbachstrasse 19 D - 80469 MÜNCHEN

V/réf. **DEC 154 EP/** FR B/SE

N/réf.

7511V

February 2, 1998

European patent application No. 91 110 755.5 in the name of DIGITAL EQUIPMENT CORPORATION (PD 90-0282 FR)

Dear Sirs.

This is further to our acknowledgment of December 23, 1997.

As requested, please find enclosed five copies of the claims translated into French of the European patent application in reference for filing at the European Patent Office.

The French complete translation will be sent in due time to DIGITAL EQUIPMENT CORPORATION with our debit note for our services.

Very Truly Yours, CABINET MALEMONT Claude Chameroy

cc: DIGITAL EQUIPMENT CORPORATION

RECEIVED LAW DEPT-PLG

TEB 0 9 1998

FILE .

ATTY_

CC/NS

Encl.

Versailles

Singe social Reception sur rendez-vous 53 ii Avenue Fourcaut-de-Pavinio 78000 VERSAILLES Telephone 01 39 54 54 24

BREVETS, MARQUES ET MODELES, RECHERCHES D'ANTERIORITE, CONSULTATIONS, CONTRATS DE CESSION OU DE LICENCES, TRANSFERT DE TECHNOLOGIE, LITIGES ET PROCES EN CONTREFAÇON

Sociéte anonyme a enjet cool de Consens en Propriéte Industrielle au capital de 720 000 frs - TVA : FR 47 542 010 533 - RCS Versailles B 542 010 533



patents and trademarks

p.o. box 97702 - 2509 gc the hague - netherlands

Digital Equipment Corporation Patent Department

111 Powdermill Road

01754 Maynard Massachusetts U.S.A.

d f , g. ips' drs j.j.w. borleffs' ir g.e. bakker ' mr ir h.p. bienfait' drs f.g. blauw mr ing w. volker european patent attorneys'

trademarks c.m. hollander g.j. van hulst mr e.e. van oosterom mr n.g. sieh o.m. westen-verheydt

legal department mr ir h.th. van asselt

patent searches ing a.b. holleboom

in. L



January 22,1998

Ref: 62724

Re.: Translation Eur. patent/application Nr. 0464708

in the Netherlands-PD 90-0282 NL DIGITAL EQUIPMENT CORPORATION

Dear Sirs,

Please find enclosed 2 copies of the sworn translation filed with the Dutch Patent Office, together with our debit note.

Maximum duration after grant, 20 years after the filing date.

1.annual fee due after grant at the end of the month of filing (in the 5.year after the filing date of the Eur.patent application). Costs Dqlds. 625,-

According to your general instructions for payment of annual fees we marked our file that this will be done directly by you.

Very truly yours HAAGSCH OCTROOIBUREAU

Encl.

RECEIVED LAW DEPT-PLG

JAN 30 1998

ATTY____

P.S.Filing of an undersigned power of attorney is no longer necessary.

BETTEN & RESCH

Patentanwälte European Patent Attorneys Europ. Trademark Attorneys

Dipl.-Ing. Jürgen Betten Dipl.-Phys. Michael Resch

Patents · Trademarks · Designs Computer Program Protection

Reichenbachstrasse 19 D-80469 München **2** 0 89 - 2 60 30 88 Telefax 0 89 - 2 60 78 96

DIGITAL EQUIPMENT CORPORATION Ram Nath International Patent Consultant Patent Law Group 111 Powdermill Road Maynard, MA 01754-1499 U.S.A.

1) Its entry

December 16, 1997

European Patent Application No. 91110755.5 "High speed bus system" DIGITAL EQUIPMENT CORPORATION

Your Ref.:

PD90-0282

Our File:

DEC 154 EP B/SE

INTENTION TO GRANT A PATENT

Dear Ram,

We have the pleasure of advising you that according to the enclosed *communication the European Patent Office will grant a European patent.

The text corresponds to that of the advance notice you received with our letter of September 4, 1997.

It is now necessary to pay the fees for grant and printing and to file the German and French translation of claims by

March 15, 1998.

We will do so according to your instructions of December 1, 1997.

The patent will be effective in the following countries:

RECEIVED LAW DEPT-PLG

DE FR GB IT NL

JAN 0 6 1998

FILE . ATTY To validate the patent in these countries a translation must be filed in

DE FR IT NL.

We will take care of the validation of the patent and forward the necessary documents to the national associates in accordance with your instructions.

Yours sincerely,

Jürgen Betten

*Enclosure

Our Ref: MRJ/MJ/M36121 Your Ref: PD 90-0282 GB Date: 5 December, 1997



European Patent Attorneys Chartered Patent Attorneys Trade Mark Attorneys

Patents - Trade Marks Designs - Copyright

30 John Street London WCIN 2DD Telephone 0171-242 8291 Fax 0171-242 6630 International +44171-Telex 23749

Digital Equipment Corporation
111 Powdermill Road
Maynard, Massachusetts 01754-1418
USA

1) PTs entry 2 File

Dear Sirs,

Re: European Patent Application No. 91110755.5

European Publication No. 0464708

Patentee: DIGITAL EQUIPMENT CORPORATION

Thank you for your letter dated 1 December 1997 enclosing details of the above mentioned European Patent Application.

We confirm we have now added this case to our records and taken steps to record ourselves as the address for service in respect of the United Kingdom part of this patent.

We look forward to receiving a copy of the Decision to grant this application so that we may calculate when the first annuity will fall due in the United Kingdom.

Our debit note will follow shortly.

Yours faithfully,

KILBURN & STRODE

RECEIVED LAW DEPT-PLG

UEC 1 0 1997

ATTY____

Partners: K D N Kearney R, Ashmead N R, Jennings D C Ree-A G, Sheird M N Mags P Hak P W C'upmin Consultants:

ATM Robinson

Alson C. Roberts

Assisted by: Kristina V.J. C. rinsh Kathleen D. Harris* J.H. W. Miller G.V. Roberts M.P. White * 5.3

Partnership Secretary: B. Collins

Records: WDD Green Accounts: B1 Nuchey

BETTEN & RESCH

Patentanwälte European Patent Attorneys Europ. Trademark Attorneys

Dipl.-Ing. Jürgen Betten Dipl.-Phys. Michael Resch

Patents · Trademarks · Designs Computer Program Protection

Reichenbachstrasse 19 Telefax 0 89 - 2 60 78 96

DIGITAL EQUIPMENT CORPORATION Ram Nath **Patent Associate** 111 Powdermill Road Maynard, MA 01754-1418 U.S.A.

December 2, 1997

European Patent Application No. 91110755.5 "High speed bus system" DIGITAL EQUIPMENT CORPORATION Your Ref.: PD90-0282

Our File:

DEC 154 EP B/SE

APPROVAL

Dear Ram,

according to our letter of September 4, 1997 we have filed the enclosed *approval to the text proposed by the Examining Division.

For our services we take the liberty of enclosing our *debit note.

Yours sincerely,

lurgen Betten

*Enclosures approval debit note





111 Powdermil Road Maynard, Massachusetts USA 01754-1418

December 1, 1997

VIA FACSIMILE

Mr. Jürgen Betten Betten & Resch Patentanwalte Reichenbachstrasse 19 (Gartnerplatz) D-80469 München GERMANY

RE: Notice of Intention to grant a Patent

European Patent Application No.: 91110755.5

Your Reference No.: DEC 154 EP B/SE Our Reference No.: PD 90-0282 EPO

Dear Jürgen:

We respond to your letter of September 4, 1997 and the communication under Rule 51 (4). We approve the text of the application on the basis of documents attached to the communication.

Please note that we wish to proceed with the national stage only in the following five (5) countries: **Germany, France, Italy, the Netherlands, and the United Kingdom.** Please see the attached listing of our selected national associates.

This letter authorizes you to prepare the German translation of the granted EPO claims and text and to take all steps necessary to effect the granted patent in Germany. The FRENCH TRANSLATION of the EPO claims will be prepared by our French associate and sent to you in good time.

In due time, please forward to the selected representatives a copy of any necessary forthcoming EPO communications, e.g., EPO Form 2006, and a copy of the final text as intended for grant only to those associates who must prepare their own translations.

Annuity payments: All annuity payments at grant of this application will be made by DIGITAL through CPI. All annuity payments for national patents after grant will also be made by CPI for DIGITAL.

December 1, 1997 PD 90-0282 EP

All selected national representatives are asked to send their debit notes or invoices directly to us and to submit to us the particulars of the respective national patents.

By copy of this letter, all our local national associates are informed of this procedure.

If you have any concerns with the transition to the National phase, please notify us immediately.

Yours very truly,

Ram B. Nath

International Patent Consultant

Ram B. Nothyans

Patent Law Group

PS PLEASE SEND US BY FACSIMILE THE FORM 2006 FORM AS SOON AS RECEIVED SO THAT WE WILL KNOW THE DATE OF MENTION OF GRANT. THANK YOU.

RBN:rmj

Enclosures: Listing of National Associates

cc: Selected Local National Associates (Check address list)

Joyce Lange/CPI Ann Skrzyniarz

EUROPEAN PATENT APPLICATION NO.: 91110755.5 DIGITAL REFERENCE NO.: PD 90-0282 EPO

LISTING OF NATIONAL ASSOCIATES

1. DE GERMANY

Same as main EP Associate

Prepares GERMAN translation of:

- 1. EPO claims
- 2. German national text

2. FR FRANCE

Mr. Robert Chauchard Cabinet Malemont 42, Avenue du President Wilson 75116 Paris FRANCE

Prepares FRENCH translation of:

- 1. EPO claims
- 2. French national text

3. IT ITALY

Studio TORTA Societa Semplice Via Viotta 9 10121 Torino ITALY

Prepares ITALIAN translation of:

1. Italian national text

4. NL NETHERLANDS

Mr. Hendrik J.G. Lips Haagsch Octrooibureau P.O. Box 97702 2509GC The Hague NETHERLANDS

Prepares DUTCH translation of:

1. Dutch national text

5. GB UNITED KINGDOM

Mr. Michael Maggs Kilburn & Strode 30 John Street London WC1N 2DD UNITED KINGDOM



111 Powdermill Road Maynard, Massachusetts USA 01754-1418

December 1, 1997

VIA DHL COURIER

Mr. Robert Chauchard Cabinet Malemont 42, Avenue du President Wilson 75116 Paris FRANCE

RE: National Phase in Designated EPO Countries

European Patent Application No.: 91110755.5

Our Reference No.: PD 90-0282 FR

Dear Mr. Chauchard:

Please complete the national phase requirements in your country for the abovereferenced granted EPC application.

Enclosed please find:

- 1. A copy of the letter to the main EP associate containing information regarding fees, invoices, and translation instructions (Note: If your firm is preparing a common translation, kindly distribute the translation as indicated in a timely manner.);
- 2. Listing of National Associates;
- 3. Cover Sheet of the International Publication;
- 4. Communication under EPC Rule 51 (4);
- 5. Power of Attorney

Please ensure that you complete filing of the approved text in your national patent office in a timely manner. One copy of the national translation filed by you should accompany your SINGLE invoice, which should be in the Digital format.

- Page 2 -

December 1, 1997 PD 90-0282 FR

Annuity payments: All annuity payments at grant of this application will be made by DIGITAL through CPI. All annuity payments for national patents after grant will also be made by CPI for DIGITAL.

Please note that we DO NOT require a facsimile acknowledgment of the receipt of this communication.

If you have any questions regarding your role in this national phase of the granted EPO application, please advise.

Yours very truly,

Ram B. Nath

International Patent Consultant

Patent Law Group

RBN:rmj

Enclosures

cc: B

Betten & Resch Joyce Lange/CPI Ann Skrzyniarz



111 Powdermill Road Maynaria, Massach Gerts USA 1017 (1914) 5

December 1, 1997

VIA DHL COURIER

Mr. Michael Maggs Kilburn & Strode 30 John Street London WC1N 2DD UNITED KINGDOM

RE: National Phase in Designated EPO Countries

European Patent Application No.: 91110755.5

Our Reference No.: PD 90-0282 GB

Dear Mr. Maggs:

Please complete the national phase requirements in your country for the above-referenced granted EPC application.

Enclosed please find:

- 1. A copy of the letter to the main EP associate containing information regarding fees, invoices, and translation instructions (Note: If your firm is preparing a common translation, kindly distribute the translation as indicated in a timely manner.);
- 2. Listing of National Associates;
- 3. Cover Sheet of the International Publication;
- 4. Communication under EPC Rule 51 (4);

Please ensure that you complete filing of the approved text in your national patent office in a timely manner. One copy of the national translation filed by you should accompany your SINGLE invoice, which should be in the Digital format.

Annuity payments: All annuity payments at grant of this application will be made by DIGITAL through CPI. All annuity payments for national patents after grant will also be made by CPI for DIGITAL.

December 1, 1997 PD 90-0282 GB

If you have any questions regarding your role in this national phase of the granted EPO application, please advise.

Yours very truly,

Ram B. Nath

International Patent Consultant

Ram B. Nath/ans

Patent Law Group

RBN:rmj

Enclosures

cc: Betten & Resch

Joyce Lange/CPI Ann Skrzyniarz



111 Powdermill Road Maynard, Massachusetts USA 91754-1418

December 1, 1997

VIA DHL COURIER

Studio TORTA Societa Semplice Via Viotta 9 10121 Torino ITALY

RE: National Phase in Designated EPO Countries

European Patent Application No.: 91110755.5

Our Reference No.: PD 90-0282 IT

Dear Sirs:

Please complete the national phase requirements in your country for the above-referenced granted EPC application.

Enclosed please find:

- 1. A copy of the letter to the main EP associate containing information regarding fees, invoices, and translation instructions (Note: If your firm is preparing a common translation, kindly distribute the translation as indicated in a timely manner.);
- 2. Listing of National Associates;
- 3. Cover Sheet of the International Publication;
- 4. Communication under EPC Rule 51 (4);
- 5. Power of Attorney

Please ensure that you complete filing of the approved text in your national patent office in a timely manner. One copy of the national translation filed by you should accompany your SINGLE invoice, which should be in the Digital format.

Annuity payments: All annuity payments at grant of this application will be made by DIGITAL through CPI. All annuity payments for national patents after grant will also be made by CPI for DIGITAL.

If you have any questions regarding your role in this national phase of the granted EPO application, please advise.

Yours very truly,

Ram B. Nath

International Patent Consultant

Ram B. Noth lans

Patent Law Group

RBN:rmj

Enclosures

cc: Betten & Resch

Joyce Lange/CPI Ann Skrzyniarz



111 Powdermill Road Maynard, Massachuserts USA 01754-1415

December 1, 1997

VIA DHL COURIER

Mr. Hendrik J.G. Lips Haagsch Octrooibureau P.O. Box 97702 2509GC The Hague THE NETHERLANDS

RE: National Phase in Designated EPO Countries

European Patent Application No.: 91110755.5

Our Reference No.: PD 90-0282 NL

Dear Mr. Lips:

Please complete the national phase requirements in your country for the above-referenced granted EPC application.

Enclosed please find:

- 1. A copy of the letter to the main EP associate containing information regarding fees, invoices, and translation instructions (Note: If your firm is preparing a common translation, kindly distribute the translation as indicated in a timely manner.);
- 2. Listing of National Associates;
- 3. Cover Sheet of the International Publication;
- 4. Communication under EPC Rule 51 (4);

Please ensure that you complete filing of the approved text in your national patent office in a timely manner. **One** copy of the national translation filed by you should accompany your SINGLE invoice, which should be in the Digital format.

Annuity payments: All annuity payments at grant of this application will be made by DIGITAL through CPI. All annuity payments for national patents after grant will also be made by CPI for DIGITAL.

December 1, 1997 PD 90-0282 NL

If you have any questions regarding your role in this national phase of the granted EPO application, please advise.

Yours very truly,

Ram B. Nath

International Patent Consultant

Patent Law Group

RBN:rmj

Enclosures

cc: Betten & Resch

Joyce Lange/CPI Ann Skrzyniarz

То:	· GAKG
	

POST-GRANT EUROPEAN PATENT

Action Required

The European Patent Office intends to grant a patent on PD 90-000 ATTACHED PROVIDES MORE APPLICATION DETAILS

There is not much time to complete post-grant procedures. Considering the associated costs, PLG will proceed <u>only</u> with the CIRCLED designated states, unless we hear otherwise from you by ____/- 30 - 47.

* Marks Earlier Designated States in which patent protection is available

9	Country	Translation		<u>A</u>	prox. Co	ost	
			File in This Country? Yes or No			Apply for Licenses of Right? Yes or No	Apply for Divisional Now? Yes or No
AT	Austria	German		\$	500		
BE	Belgium	Fr. or Dutch		\$	500		4
CH LI	Switzerland / Liechtenstein	Fr. or German or Italian		\$	500		
火 DE	Germany	German		\$	3,000		
DK	Denmark	Danish		\$	2,500		
ES	Spain	Spanish		\$	2,500		
₩ FR	France	French		\$	3,000		
 ∳GB	United Kingdom	(Not Required)	<u> </u>	\$	500		
GR	Greece	Greek		\$	2,500		
IE	Ireland	English		\$	500		
, IT ,	Italy	Italian		\$	3,000		
LU	Luxembourg	Eng. Fr. or Germ.		\$	500		
> NL	Netherlands	Dutch		\$	2,500		
PT	Portugal	Portuguese		\$	2,500		
SE	Sweden	Swedish		\$	2,500		

Return form with any changes to Ann Skrzyniarz at MSO2-3/F3.

Publication number:

0 464 708 A1

(12)

EUROPEAN PATENT APPLICATION

(1) Application number: 91110755.5

(1) Int. Cl.5: G06F 15/16, G06F 13/36

22 Date of filing: 28.06.91

Priority: 29.06.90 US 546547

Date of publication of application:08.01.92 Bulletin 92/02

Designated Contracting States:
 DE FR GB IT NL

71 Applicant: DIGITAL EQUIPMENT
CORPORATION
111 Powdermill Road
Maynard Massachusetts 01754-1418(US)

36 Fox Meadow Road
Leominster, MA 01453(US)
Inventor: Keller, James B.
33 Ottawa Road
Arlington, MA 01775(US)
Inventor: Samaras, William A.
528 Washington Street
Haverhill, MA 01830(US)

2 Inventor: Ramanujan, Ray

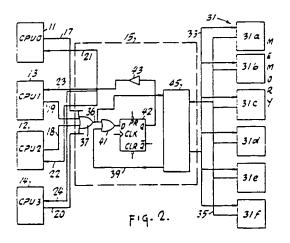
Inventor: DeRosa, John 16 Lover's Lane Princeton, MA 01541(US) Inventor: Stewart, Robert E.

1 No Name Road Stow, MA 01775(US)

Representative: Betten & Resch
Reichenbachstrasse 19
W-8000 München 5(DE)

(see High speed bus system.

(57) A high speed bus system for use in a shared memory system that allows for the high speed transmissions of commands and data between a number of processors and a memory array of a multi-processor, shared memory system, with the high speed bus system including a central unit and a series of uni-directional buses that connect between the plurality of processors and shared memory, with the central unit including arbitration logic and a series of multiplexers to determine which CPUs are granted access to shared buses, scheduling logic that works with the arbitration logic and multiplexers to determine which CPUs are granted access to the shared buses, and port logic for combining the CPU transmissions and determining if such transmissions are valid.



EP 0 464 708 A1

Pouvoir.

Power of Attorney.

ssigné

The undersigned DIGITAL EQUIPMENT CORPORATION

111 Powdermill Road Maynard, Massachusetts 01754-1499 U.S.A.

NC

14-1499

pour Mandataire

appoint

Societe de Protection des Inventions 25, Rue de Ponthieu 75008D Paris FRANCE

:lli Raffaele, Cerbaro .o Cigno Giovanni. Roberto and Revelli ide Mark Roll under 1; 482/BM; 294/BM; 5/BM respectively). ide Mark Roll under

donne

pouvoir de pour

toutes les démarches nécessaires à l'effet

give power to take all as agent to whom we and in Our name the necessary steps for US for The National Phase of European Patent Application No.: 91304534.0

TITLE: SYSTEM AND METHOD FOR CONSISTENT TIMESTAMPING IN DISTRIBUTED COMPUTER DATABASES on at the STUDIO o, to act as mv/our ture and with power range of activity, by ice, to file in Italy in Patent 5.5

A cet effet, rédiger et déposer toutes pièces, nter toutes requêtes, signer tous registres, donner s decharges, verser et retirer toutes taxes, répondre ites demandes et notifications; au besoin, modifier orriger ultérieurement, toutes pièces; en cas de nciation ou de refus pour une cause quelconque, senter les demandes s'il y a lieu; après délivrance, toutes expéditions de titres ; élire domicile, tituer tout ou partie des présents pouvoirs; plir toutes formalités; et généralement faire tout jui sera utile pour l'exécution du présent mandat.

Déclarant reconnaître et ratifier tous les actes omplis pour la réalisation dudit mandat.

Fait à

For the purpose aforesaid, to draft and file all documents, to make all petitions and requests, to sign all records, to give all releases, to pay and withdraw all taxes, fees and charges, to reply to all requests and notifications; to make subsequent modifications and corrections in all documents as and when the need arises, if necessary to present applications again in the event of abandonment or refusal for any cause whatsoever; after granting to take all copies of titledeeds; to elect domicile, to substitute all or part of the present powers; to comply with all formalities; and generally to do all that will be useful in performance of the present mandate.

As hereby declared to acknowledge and confirm all the acts performed in fulfilment of the mandate aforesaid.

Massachusetts, USA Executed in Maynard,

Assistant General Counsel

Assistant Secretary Assistant Clerk

acceptance and for deriving therefrom Jemark Office and mate the inventor/s. filed as conforming to official actions. o apply for refunds thdraw applications · certificates issued vith all briefs, and of Appeals, to file

domicile with the est that the Italian competent Offices is all requests and ary, also pursuant 939, No. 1127 and

7

Pouvoir.

Power of Attorney.

soussigné

The undersigned

DIGITAL EQUIPMENT CORPORATION

111 Powdermill Road Maynard, Massachusetts 01754-1499 U.S.A.

constitue

pour Mandataire

appoint

Societe de Protection des Inventions 25, Rue de Ponthieu 75008D Paris FRANCE

à qui

donne

pouvoir de pour

faire toutes les démarches nécessaires à l'effet

as agent to whom we give power to take all the necessary steps for US and in OUT name for The National Phase of European Patent Application No.: 9111-755.5

TITLE: HIGH SPEED BUS SYSTEM

A cet effet, rédiger et déposer toutes pièces, présenter toutes requêtes, signer tous registres, donner toutes décharges, verser et retirer toutes taxes, répondre à toutes demandes et notifications; au besoin, modifier et corriger ultérieurement, toutes pièces; en cas de renonciation ou de refus pour une cause quelconque, représenter les demandes s'il y a lieu; après délivrance, lever toutes expéditions de titres ; élire domicile, substituer tout ou partie des présents pouvoirs ; remplir toutes formalités; et généralement faire tout ce qui sera utile pour l'exécution du présent mandat.

Déclarant reconnaître et ratifier tous les actes accomplis pour la réalisation dudit mandat.

Fait à

le

For the purpose aforesaid, to draft and file all documents, to make all petitions and requests, to sign all records, to give all releases, to pay and withdraw all taxes, fees and charges, to reply to all requests and notifications; to make subsequent modifications and corrections in all documents as and when the need arises, if necessary to present applications again in the event of abandonment or refusal for any cause whatsoever; after granting to take all copies of titledeeds; to elect domicile, to substitute all or part of the present powers; to comply with all formalities; and generally to do all that will be useful in performance of the present mandate.

As hereby declared to acknowledge and confirm all the acts performed in fulfilment of the mandate aforesaid.

Executed in Maynard, Massachusetts, USA

Fisher

Assistant General Counsel

Assistant Secretary Assistant Clerk

d i.g i t a l

Digital Equipment Corporation

111 Powdermill Road Maynard, Massachusetts USA 01754-1418

RECEIVED Law Department

December 1, 1997

DEC 1 7 1997

1997 J.te

VIA DHL COURIER

AMB

Mr. Robert Chauchard Cabinet Malemont 42, Avenue du President Wilson 75116 Paris FRANCE ACKERS LEDGMENT
CABINET MALEMONT
8 DEC. 1997

RE:

National Phase in Designated EPO Countries

European Patent Application No.: 91110755.5

Our Reference No.: PD 90-0282 FR

Dear Mr. Chauchard:

Please complete the national phase requirements in your country for the above-referenced granted EPC application.

Enclosed please find:

- 1. A copy of the letter to the main EP associate containing information regarding fees, invoices, and translation instructions (Note: If your firm is preparing a common translation, kindly distribute the translation as indicated in a timely manner.);
- 2. Listing of National Associates;
- 3. Cover Sheet of the International Publication;
- 4. Communication under EPC Rule 51 (4);
- 5. Power of Attorney

Please ensure that you complete filing of the approved text in your national patent office in a timely manner. One copy of the national translation filed by you should accompany your SINGLE invoice, which should be in the Digital format.

R E C	_	-		
DEC	2	3	1997	
FILE ATTY_		-		_

BETTEN & RESCH

Patentanwälte European Patent Attorneys Europ. Trademark Attorneys

Dipl.-Ing. Jürgen Betten Dipl.-Phys. Michael Resch

Patents · Trademarks · Designs Computer Program Protection Reichenbachstrasse 19 D-80469 München **2** 0 89 - 2 60 30 88 Telefax 0 89 - 2 60 78 96

DIGITAL EQUIPMENT CORPORATION David Suhl Patent Associate 111 Powdermill Road Maynard, MA 01754-1418 U.S.A.

Docket post grant EP response to

Ann

Deadline Nov 25 9:

David Suhl fyi.

1 File

September 4, 1997

European Patent Application No. 91110755.5
"High speed bus system"
DIGITAL EQUIPMENT CORPORATION
Your Pof: PD00 0383

Your Ref.:

PD90-0282

Our File:

DEC 154 EP B/SE

Deckated 9/17 Atty. Math.

PTO Date 9/4 FLF Date

Due Date 12/3/97

Action PS T GRT

COMMUNICATION UNDER RULE 51(4) EPC

Dear Mr. Suhl,

We have the great pleasure of advising you that according to the enclosed *communication the Examining Division intends to grant a European patent on the basis of the enclosed *text and drawings for the above-identified application.

The minor amendments proposed by the Examining Division are in our opinion acceptable.

An objection against this advance notice can be filed by

January 2, 1998

We will state our approval one month before the above date, i.e. on

December 2, 1997

MECEIVED LAW DEPT-PLG

unless we receive instructions to the contrary.

SEP 1 1 1997

ATTY____

Please note that the date of approval to the text proposed by the Examining Division is the last possibility to file a divisional application for this subject matter.

In case of acceptance the patent will be granted for the following countries:

DE FR GB IT NL

Due to the rather short terms of the EPO granting procedure and the costs for the translation of the patent for almost all countries we advise to consider already now whether you want the protection in all countries or only in some of them.

Yours sincerely,

Jürgen Betten

*Enclosures communication under Rule 51(4) EPC with text and drawings cover sheet of the publication

** TX STATUS REPURT **

AS OF FEB 26 '97 16:34 PAGE.01

DIGITAL LAWS - MSO

DATE TIME TO/FROM 25 02/26 16:33 49 89 2607896

MODE MIN/SEC PGS CMD# STATUS G3--S 01'11" 002 197 OK



FACSIMILE COMMUNICATION

From: DAVID SUHL

Digital Equipment Corporation

Law Department 111 Powdermill Road Maynard MA, 01754 FAX: (508) 493-8804

Date: FEBRUARY	<u>26, 1997</u>
To: Jurgen Bet	ten
Company: BET	TEN AND RESCH
Total Numbe	er Of Pages Transmitted: 2
*Please contact the event of tran	our FAX operator at (508) 493-5975,, or the sender is smission difficulty. Senders telephone: (508)493-5875
Comments: _	YOUR REF. NO. DEC 154 EP B/GR
	OUR REF. NO. PD90-0282 EP
	EUROPEAN PAT. APPLIC. NO. 91110755.5
	TITLE: HICH SPEED BIS SYSTEM
FAX Number	8=011-49-89-2607896

111 Powdermill Road Maynard, Massachusetts USA 01754-1418

VIA FACSIMILE - ORIGINAL MAILED Facsimile No. 8-011-49-89-2607896

February 25, 1997

Jurgen Betten
Betten and Resch
Reichenbachstrasse 19
(Gärtnerplatz)
D-80469 München
GERMANY

Subject: European Patent Application No. 91110755.5

Your Ref. No.: DEC 154 EP B/GR Our Ref. No.: PD90-0282 EP Title: High Speed Bus System

Dear Mr. Betten,

Thank you for your letter of February 3, 1997 regarding the above referenced patent application. I have read your proposed response to the Examiner's rejections and the new set of claims. I agree with your reasoning with regard to including the limits of claim 8 in independent claim 1, as I noted in my letter of December 11. I also agree with your suggestion to maintain dependent claims 2-7 in their current state, although I would think that claim 5 should have the "state" devices match the claim 2 amendment.

If you have any further questions regarding this matter, please do not hesitate to contact me at (508) 493-7132, FAX (508) 493-8804.

Sincerely

David Suhl

Patent Associate

111 Powdermill Road Maynard, Massachusetts USA 01754-1418 508 493 5111 Telephone

VIA FACSIMILE - ORIGINAL MAILED Facsimile No. 8-011-49-89-2607896

December 11, 1996

Jurgen Betten
Betten and Resch
Reichenbachstrasse 19
(Gärtnerplatz)
D-80469 München
GERMANY

Subject: European Patent Application No. 91110755.5

Your Ref. No.: DEC 154 EP B/GR Our Ref. No.: PD90-0282 EP Title: High Speed Bus System

Dear Mr. Resch,

Thank you for your letter of October 17, 1996 to Ram Nath regarding the above referenced patent application. I have read the two cited prior art references and have the following suggestions as to how to answer the Examiner's rejections contained in sections 3 and 4.

As far as I can understand the primary reference, Heidtmann is not using unidirectional buses nor is he directly coupling the selected bus to the central unit. These two noted differences over the cited art would appear to be significant in that they greatly increase the performance of the system. In addition, the Examiner's point in paragraph 3 about the missing memory controller in the primary prior art reference is very significant.

I would suggest rewriting claim 1 to include the limits of dependent claims 2, 3, 5, 8 and 18. Therefore claims 2-8 would be deleted. Dependent claims 9-17 would become claims 2-10, modified as appropriate. I would also suggest a slight change in the wording of claim 1. 1(a) would read "a plurality of bus elements, with each of said plurality of bus elements making requests for access to at least one other bus element;". This further points out a

difference between the present invention and the cited prior art in which each of the various resources that are using the plurality of buses initiates the request for connection.

With the above noted suggestions, claim one is now limited to include the shared memory and the memory controller in the single processor case. This seems to me to distinguish the first set of claims from the cited prior art D1 and D2. The remaining dependent claims that link to claim 1 are quite system specific and may be retained in appropriately modified form, or cancelled as you feel will best advance our chances for allowance.

Similar changes to independent claim 30 and dependent claims 31 and 32 as those noted above with reference to claim 1 and associated dependent claims would appear to me to be sufficient to overcome the Examiner's objections to the similar case of multiple processors. The Examiner's rejections of the method claims 33-36 could also be successfully addressed in similar fashion.

Other than as noted above, I believe that the remaining Examiner comments should be handled by you in accordance with standard European practices.

If you have any further questions regarding this matter, please do not hesitate to contact me at (508) 493-7132, FAX (508) 493-8804.

Sincerely,

David Suhl

Patent Associate



Corporate Law Department
Digital Equipment Corporation
111 Powdermill Road
Maynard, Massachusetts USA
01754-1499



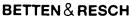
То	JURGEN BETTEN	
	BETTEN and RESC	<u> </u>
Fax 8-	011-49-89-2607896	Phone 8-011-49-89-2603088
From	DAVID SUHL	
	DIGITAL FOULPME	NT CORPORATION PATENT LAW GROUP
Total pa	ges including cover	3 Fax (508) 493- 8804
Phone ((508) 493- 7132	DTN 223-
Internet	address	
Subject	OUR FILE NO. PD9	0-0282
	YOUR REF. NO.	DEC 154 EP B/GR
f you ha	ve any problems receivi	ing this fax, piesse call Marie Bennett
711 0110 (3	508) 493- 5875	UIN 425

The information contained in this faceimile message is confidential information and may be protected by legal privileges. It is intended only for the use of the person or entity named above. If you, the reader of this message are not the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please notify the sender immediately by telephone and return the original message to the sender at the address set forth above by U.S. mail. Thank you.

** TX CONFIRMATION PEPOPT ** AS OF DEC 11 '96 14:15 FAGE.O:

DIGITAL LAWS - 883

DATE TIME TO/FROM MODE MIN SEC PGS STATUS 01 12/11 14:13 49 89 2607898 63--5 01"53 03 ' OK



Patentanwälte European Patent Attorneys Europ. Trademark Attorneys

Dipl.-Ing. Jürgen Betten Dipl.-Phys. Michael Resch

Patents · Trademarks · Designs Computer Program Protection Reichenbachstrasse 19 D-80469 München **5** 0 89 - 2 60 30 88 Telefax 0 89 - 2 60 78 96

DIGITAL EQUIPMENT CORPORATION Ram Nath International Patent Consultant Patent Law Group 111 Powdermill Road Maynard, MA 01754-1499 U.S.A.

Dover to Dover Atty

October 17, 1996

European Patent Application No. 91110755.5 "High Speed Bus System"
DIGITAL EQUIPMENT CORPORATION

Your Ref.: PD90-0282

Our File: DEC 154 EP B/GR

Dear Ram.

concerning the above application we have received the enclosed Communication, for which a response must be filed by February 17, 1997. Please let us have your instructions by

January 17, 1997.

If you need any further information or if we should make a proposal for new claims, please do not hesitate to let us know.

Yours sincerely,

Jürgen Betten

RECEIVED

Enclosure

OCT 2 3 1996

FILE _____



Europäisches Patentamt E... opean Patent Office Office européen des brevets

Generaldirektion 2

Directorate General 2

Direction générale 2

Betten & Resch Reichenbachstrasse 19 80469 München ALLEMAGNE

BETTEN & RESCH Prienter waste 17 Cloud 1998 Telephone numbers:

Primary examiner (0 89) 23 99- 2707 (substantive examination)

Formalities officer (0 89) 23 99- 2647 (Formalities including extension of time limits)

Assistant (0 89) 23 99- 2211 (other matters)

Application No./Patent No. 91110755.5-2201	Ref. DKK 73 EP	Date 11, 95
Applicant/Proprietor		
DIGITAL EQUIPMENT CORPORATION		

Communication pursuant to Article 96(2) and Rule 51(2) EPC

The /further/ examination of the above-identified application has revealed that it does not meet the requirements of the European Patent Convention for the reasons enclosed herewith. If the deficiencies indicated are not rectified the application may be refused pursuant to Article 97(1) EPC.

You are invited to file your observations and insofar as the deficiencies are such as to be rectifiable to correct the indicated deficiencies within a **period**

of 4 month

from the notification of this communication, this period being computed in accordance with Rules 78(3) and 83(2) and (4) EPC.

Amendments to the description, claims and drawings are to be filed where appropriate within the said period in **three copies** on separate sheets (Rule 36(1) EPC).

Failure to comply with this invitation in due time will result in the application being deemed to be withdrawn (Article 96(3) EPC).



P. Lacroix
Primary examiner
for the Examining Division

Enclosures: ___3 __ page/s reasons (Form 2906)



Besc /Proto

Datum

Date

Date

(Anlage)

1 6. 10. 96

Communication/Minutes ne

Blatt
Sheet 1
Feuille

Notification/Proces-verbal (Annexe)

Anmelde-Nr.:

Application No.: 91 110 755.5

Demande nº:

The examination is being carried out on the application documents as originally filed.

- All claims are objected to as not meeting the requirements of Rule 29(7) EPC.
- Independent Claims 1, 30 and 33 are objected to as not meeting the requirements of Rule 29(1) EPC.
- 3). The present application does not meet the requirements of Article 52 (1) EPC because the subject-matter of the independent claims 1, 30 and 33 does not involve an inventive step in the sense of Article 56 EPC.

Document

D1: DE - A - 3 340 123

discloses a system and the method thereof, said system comprising a bus system (Address and data bus: AD and DB) to which a plurality of bus elements (PO..Pn) are attached and further comprising:

- a central unit (Ports PO..Pn, ZS);
- a first and a second plurality of buses (Cf. Page 7, Lines 32 - 36);
- arbitration logic (ZS; Cf. From Page 7, Line 37 to Page 8, Line 11);





Datum

Anlage)

Communication/Minutes

Anmelde-Nr.:

Notification/Proces-verbal (Annexe) Application No.: 91 110 755.5

Demande nº:

Date 16. il. 3t Date

Blatt 2 Sheet Feuille

The system comprises further a shared memory (KS - Cf. Page 7, Lines 10 - 23).

The subject matter of Dl differs thus from that of the objected claims in that it does not provide any memory controller along with the buses coupled therewith but instead a plurality of ports associated each to a specific processor and allowing selectively access to only one CPU (Cf. Page 8, Lines 1 - 3).

The solution proposed in the above cited independent claims of the present application cannot be considered as involving an inventive step (Articles 52(1) and 56 EPC) since a memory controller is described in document

> $K \subset \mathcal{D}_{\mathcal{F}^{-1}}$ D2: WO - A - 8 704 826

as providing the same advantages as in your application (Cf. Page 7, Lines 6 - 13). The skilled person would therefore regard it as a normal design option to include this feature in the system described in document D1 in order to solve the problem posed as both documents belong to the same field, that of multiprocessors sharing a common memory and both documents contain comparable elements which would lead the skilled person faced with the problem of accessing a memory device to apply the mechanism shown from D2 to the device known from D1.

Claims 1, 30, 33 are refused by virtue of Articles 56 and 52(1) EPC.

The instant application distinguishes in many 4). points over the cited prior art. Thus, the Applicant



Date



'Anlage)

1 8. 15. **36**

Communication/Minutes

81att 3 Sneet Feu...e

Notification/Proces-verbal (Annexe)

Anmelde-Nr.:

Application No.: 91 110 755.5

Demande nº:

should delimit the claims against the cited prior art and redirect the invention towards non obvious embodiments of the high speed bus system. In doing that, the Applicant should:

- a acknowledge the state of the art as disclosed in D1 by means of a brief description of the devices disclosed therein:
- b identify the new problem to be solved by the present invention with regard to D1;
- c specify the differences between the prior art and present claims; and
- d indicate how these differences provide the solution to the problem to be solved - Rule 27(1) EPC.
- Claim 2 is unclear as it refers to a state device 5). without further indication relating to the functions of such a device (not appertaining to the state of the art). A complete definition e.g. by means of functional structures appears therefore necessary (Article 84 EPC).
- To meet the requirements of Rule 27(1)(b) EPC, the 6). document D1 should be identified in the description and the relevant background art disclosed therein should be briefly discussed.

New application documents should be filed in triplicate.



111 Powdermill Road Maynard, Massachusetts USA 01754-1418

August 2, 1996

VIA FACSIMILE

Mr. Jürgen Betten Betten & Resch Patentanwalte Reichenbachstrasse 19 (Gartnerplatz) D-80468 München GERMANY

RE: Pending applications being handled by Kenyon & Kenyon, USA

Dear Jürgen,

The patent applications identified in the attached listing are still pending and handled hitherto by Kenyon & Kenyon (Kenyon).

Our management has recommended that these pending patent applications should be brought in-house into the Digital Law department for further prosecution. Accordingly, please note that all future papers, including invoices, office actions and other correspondence relating to these patent applications should henceforth be sent directly to us.

By copy of this letter to Kenyon, we are informing them of the transfer of further prosecution responsibility.

Please acknowledge this letter. We thank you for your assistance in this matter.

Yours very truly,

Ram B. Nath

International Patent Consultant

Patent Law Group

RBN:rmj

CC:

Kenyon/Robert Tobin Fsq.,

Joyce Lange/CPI Ann Skrzyniarz

Kenyon & Kenyon - Listing B

I'D Number	Country	Application No.	Associate
88-0016	EP	88113161.9	Betten -
88-0177	JP	503888	Nakamura
88-0196	JP	504732/89	Nakamura
88-0199	JP	504733 / 89	Nakamura
88-0201	EP	89904422.6	Betten
88-0402	EP	89113313.3	Betten
88-0404	EP	89113324.1	Betten
88-0479	EP	90306160.4	Eric Potter
88-0489	EP	90109977.0	Betten
88-0495	EP	89111102.3	Betten
88-0497	EP	90306160.4	Eric Potter
88-0504	EP	90103115.3	Betten
89-0121	EP	90109978.8	Betten
89-0206	EP	90111017.1	Betten
	JP	139524/90	Nakamura
	KR	8309/90	Lee
89-0267	CA	2,032,435	Smart
	EP	90125014.2	Betten
	IN	1259/DEL/90	Kumaran
	JP	000564/91	Nakamura
89-0273	EP	90122593.8	Betten
	JР	403242/90	Nakamura
89-0274	EP	91110754.8	Betten
89-0301	EP	90306161.2	Eric Potter
	JP	151450/90	Nakamura

Kenyon & Kenyon - Listing B

PD Number	Country	Application No.	Associate
89-0328	EP	91110775.3	Betten
90-0079	CA	2,029,259	Smart
	EP	90122165.5	Betten
90-0172	EP	91110776.1	Betten
90-0282	EP	91110755.5	Betten
90-0283	EP	91110772.0	Betten

LAW OFFICES OF

KENYON & KENYON

ONE BROADWAY

NEW YORK, N. Y. 10004

(212) 425-7200

FACSIMILE (212) 425-5288 DOMESTIC TELEX 422141 INTERNATIONAL TELEX 428719 CABLE: AMAXIA

WASHINGTON, D.C. OFFICE 1025 CONNECTICUT AVENUE, N.W. WASHINGTON, D.C. 20036 TELEPHONE (202) 429-1776 FACSIMILE (202) 429-0796 March 8, 1993

PHILIP G. H. HARREN FELO L. D'ARIENZO, JR. PHILIPPE BENNETT

WALTER SCOTT RICHARD M. ROSATI

WALTER SCUTI
RICHARD M. ROSATI
STEVEN J. LEE
JOHN E. T. SAVARIS, II
ANDREA H. SCHEIDT
FRANK PIETRANTONIO
ALLEN J. BADEN
THOMAS F. MEAGHER
CHARLES W. CALKINS
VICTOR F. SOUTO
JOHN FLOCK
SCOTT A. BROWN
ROBERT F. PERRY
DONNA M. PRAISS
THOMAS J. MELORO
WAYNE M. KENNARD
MONICA V. FRANKLIN
KAREN J. KRAMER
FREDERICK H. REIN
JAMES E. GERINGER
JOSEPH F. NICHOLSON
PAUL R. MORICO

RICHARD L. HAHN GERARD A. MESSINA

GERARD A. MESSINA
DANIELLE B. LAIBOWITZ
DONNA L. ANGOTTI
JAMES PRIZANT*
LORA A. MOFFATT
PATRICK J. FAY
BRENDAN M. MULSHINE
SUZANNE M. PARKER* SUZANNE M. PARKER*
MARIA L. PALMESE
JEFFREY M. BUTLER
ALAN L. CASSEL
JOHN V. SWINSON
JOHN R. KENNY
E. VICTOR DONAHUE*
ELIZABETH A. GARDNER
P. MCCOY SMITH*

P. MCCOY SMITH'
LESLYE B. DAVIDSON
DENISE M. SEREWICZ
BLOSSOM E. LOO'
JOSEPH P. KIRK, JR.
MICHAEL P. STRAUB
ROBERT A. WHITMAN

CHARLES B. SPENCER CHARLES E. HEPNER JOHN M. ROMMEL* OF COUNSEL

ALBERT J. BRENEISEN

FRANCIS T. CARR
HUGH A. CHAPIN
RICHARD A. HUETTNER
EDWARD W JREASON
WILLIAM T. BOLAND, JR.
ROBERT D. FIER

ROBERT D. FIER
CHARLES R. BRAINARD
KENNETH E. MADSEN
RICHARD L. MAYER
RICHARD G. KLINE*
DOUGLAS G. BRACE
EDWARD J. HANDLER, III
ROBERT T. TOBIN

DONALD K. DUVALL*

STUART J. SINDER
GEORGE E. BADENOCH
JOHN C. ALTMILLER*
MICHAEL J. LENNON
PHILIP J. MCCABE*
JAMES GALBRAITH
WALTER E. HANLEY, JR.
RICHARD L. DELUCIA
JAMES E. ROSINI
EOWARD T. COLBERT*
WILLIAM K. WELLS, JR.
JONATHAN D. REICHMAN
SCOTT A. WISSER
PATRICK J. BIRDE
HOWARD J. SHIRE
RICHARD S. GRESALFI
MICHAEL D. LOUGHNANE
WILLIAM A. TANENBAUM

PAUL LEMPEL ALAN T. BOWES PAUL H. HELLER ARTHUR D. GRAY

"RESIDENT, WASHINGTON OFFICE

Ms. Joyce Lange Digital Equipment Corporation MS02-3/G3 111 Powdermill Road Maynard, MA 01754-1418

EPO Patent Application No.: 91110755.5

Your Ref.: PD90-0282 - Our Ref. 17380/1103

Dear Joyce:

The third year annuity falls due on the aboveidentified EPO patent application on June 28, 1993.

We have instructed our associate to pay this fee and to continue to maintain this application on their maintenance records up to and including the time of payment of the first annuity fees due in the national countries after grant. As usual, we will advise you each year of the annuity requirements.

RECEIVED LAW DEST - PSLG

APK 1 1993 er E

J. LANGE

Very truly yours,

KENYON & KENYON

By: Ann M. Murphy

Legal Assistant to Robert T. Tobin

Enclosure cc: Robert T. Tobin, Esq. 116022

 $A_{\lambda} M$

1 : .

LAW OFFICES OF

KENYON & KENYON

ONE BROADWAY

NEW YORK, N. Y. 10004 (212) 425-7200

FACSIMILE (212) 425-5288 DOMESTIC TELEX 422141 INTERNATIONAL TELEX 428719 CABLE: AMAXIA

WASHINGTON, D.C. OFFICE 1025 CONNECTICUT AVENUE, N.W. WASHINGTON, D.C. 20036 TELEPHONE (202) 429-1276 FACSIMILE (202) 429-0796 March 8, 1993

PHILIP G. HAMPTON, II*
JOHN J. KELLY, JR.

PHILIPPE BENNETT WALTER SCOTT RICHARD M. ROSATI STEVEN J. LEE JOHN E. TSAVARIS, II ANDREA H. SCHEIDT FRANK PIETRANTONIO* FRANK PIETRANTONIO
ALLEN J. BADEN
THOMAS F. MEAGHER
CHARLES W. CALKINS*
VICTOR F. SOUTO
JOHN FLOCK
SCOTT A. BROWN*
ROBERT F. PERRY
DONNA M. PRAISS
THOMAS J. MEI DRO DONNA M. PRAISS
THOMAS J. MELORO
WAYNE M. KENNARD
MONICA V. FRANKLIN
KAREN J. KRAMER
FREDERICK H. REIN
JAMES E. GERINGER
JOSEPH F. NICHOLSON
PAUL R. MORICO LYNNE DARCY FELIX L. D'ARIENZO, JR

RICHARD L. HAMP GERARD A. MESSINA

CHARLES 9. SPENCER CHARLES E. HEPNER JOHN M. ROMMEL* OF COUNSEL

ROBERT T. TOBIN
PAUL LEMPEL
ALAN T. BOWES
PAUL H. HELLER
ARTHUR D. GRAY
ALBERT J. BRENEISEN

FRANCIS T. CARR

FRANCIS T. CARR
HUGH A. CHAPIN
RICHARD A. HUETTNER
EDWARD W. GREASON
WILLIAM T. BOLAND, JR.
ROBERT D. FIER

MOBERT D. FIER
CHARLES R. BRAINARD
KENNETH E. MADSEN
RICHARD L. MAYER
RICHARD G. KLINE*
DOUGLAS G. BRACE
EDWARD J. HANDLER, III
ROBERT T. TOBIN

DONALD K. DUVALLE

STUART J. SINDER
GEORGE E. BADENOCH
JOHN C. ALTMILLER*
MICHAEL J. LENNON
PHILIP J. MCCABE*
JAMES GALBRAITH
WALTER E. HANLEY, JR.
RICHARO L. DELUCIA
JAMES E. ROSINI
EDWARD T. COLBERT*
WILLIAM K. WELLS, JR.*
JONATHAN D. REICHMAN
SCOTT A. WISSER
PATRICK J. BIRDE
HOWARD J. SHIRE
RICHARD S. GRESALFI
MICHAEL D. LOUGHNANE
WILLIAM A. TANENBAUM

*RESIDENT, WASHINGTON OFFICE

Betten & Resch European Patent Attorneys Reichenbachstrasse 19 D-8000 Munchen 5 Germany

EPO Patent Application No. 91110755.5

Your Ref. DKK 73 EP B/Rt

Our Ref. 17380/1103 - (PD90-0282)

Dear Sirs:

Please attend to payment of the third year annuity due June 28, 1993 on the above-identified EPO patent application.

Please also continue to maintain this application on your annuity docket system for reminders and payments of annuities due up to and including the first annuities due in the national countries after grant. At that time, the national agents should be advised to send us a reminder for the second annuity due in their respective country.

If you have any questions concerning this matter, please let us know.

Very truly yours,

KENYON & KENYON

By: / Ann M. Murphy

Legal Assistant to

Robert T. Tobin

cc: Robert T. Tobin, Esq. 116021

BETTEN & RESCH

Patentanwälte European Patent Attorneys Dipl.-Ing. Jürgen Betten Dipl.-Phys. Michael Resch

Reichenbachstrasse 19 (Gärtnerplatz)
D-8000 München 5
© 089 – 260 30 88
Telex 5 218 677 elpa d
Telefax 089 – 260 78 96

Datelmark®

Ann Marphy KENYON & KENYON One Broadway New York, N.Y. 10004 U. S. A.

February 19, 1993

European Patent Application 91110755.5 of DEC

Your ref.: 17380/1103 (PD90-0282)

Our file: DKK 73 EP B/Rt.

SOLE FEE REMINDER

Dear Ms. Murphy,

This is to remind you that for the above application or protective right, respectively, the 3rd annual fee must be paid until

June (28), 1993.

.7

The costs, inclusive official fees will amount to DM 890,--.

According to your general instructions we will pay the annual fee and send you our debit note one month before the above date, unless we will be instructed otherwise until May 25, 1993. Together with our debit note we will inform you of the date of payment of the fee.

Yours very truly,

BETTEN & RESCH

i.A. Hinkel

Digital Attorney: MORAN Kenyon & Kenyon One Broadway New York, NY 10004

[200 ALIVE :

TIAN 2 3 1992

To Professional Services

File: MUX BUS/OR BUS, 1103/PD90-0282 (EPO)

Group: VSS

Review and study of this file together with communication from European Patent Office requesting confirmation of applicant's intention to proceed with prosecution of this application; preparation and forwarding instructions to agent to respond to official communication; preparation and forwarding report to client

Janu	ary 31, 1992				
550	W.M. KENNARD (Review)	.50 hrs.	@ \$ 230	\$	115.00
550	A. MURPHY (Receiving official communica forwarding copy of instructio agent to client; update record	tion; ns to	@ \$ 85	\$	63.75
		Subtotal		\$	178.75
Disb	rsement:				
1770 1780	Postage Photocopies			\$ \$	3.40 18.20
		Subtotal		\$	21.60
		Total	nK.	\$) v-	200.35
TOTAL S	ERVICES FOR THIS BILLING ISBURSEMENTS FOR THIS BILLING	OK TO PAY R.J. Paciulan	W.	\$ \$	178.75 21.60

BETTEN & RESCH Patentanwälte European Patent Attorneys

Dipl.-Ing. Jürgen Betten Dipl.-Phys. Michael Resch

Reichenbachstrasse 19 (Gärtnerplatz) D-8000 München 5 © 089 - 260 30 88 Telex 5218 677 elpa d Telefax 089 - 260 78 96

Mar 17 9 01 Ab 32

Datelmark®

KFNYON & KENYON V
Attn. Ms. Ann M. Murphy
One Broadway
New York, N.Y. 10004
U.S.A.

March 8, 1992

European patent application No. 91 110 755.5 Digital Equipment Corporation Your ref.: 17380/1103 Our file: DKK 73 EP B/ro

PROCEEDING FURTHER WITH THE EUROPEAN PATENT APPLICATION

Dear Ms. Murphy,

according to our letter of January 17, 1992 we have now filed the enclosed response with the EPO indicating that applicants desire to proceed further with the application.

Yours sincerely,

Jürgen Betten

Encl.



Dipl.-Ing. Jürgen Betten Dipl.-Phys. Michael Resch

Reichenbachstrasse 19 (Gärtnerplatz) D-8000 München 5 3089 - 2603088 Telex 5218677 elpa d Telefax 089 - 2607896

Datelmark⁹

European Patent Office 8000 München 2

March 8, 1992

European patent application No. 91 110 755.5-2201 Digital Equipment Corporation
Our file: DKK 73 EP B/ro

In response to the communication of January 14, 1992.

This is to confirm that applicants desire to proceed further with the application.

Jürgen Betten

BETTEN & RESCH

Patentanwälte European Patent Attorneys

Dipl.-Ing. Jürgen Better Dipl.-Phys. Michael Resch

Reichenbachstrasse 19 (Gärtnerplatz)

Datelmark®

KENYON & KENYON Attn. Ms. Ann M. Murphy One Broadway New York, N.Y. 10004 U.S.A.

January 20, 1992

European patent application No. 91 110 755.5 Digital Equipment Corporation

Your ref.: 17380/1103 Our file: DKK 73 EP B/ro

Dear Ms. Murphy,

please find enclosed the European Patent Application in orint.

Yours sincerely,

Jürgen Betten

Encl.

Europäisches Patentamt
European Patent Office
Office européen des brevets



Publication number:

0 464 708 A1

(2)

EUROPEAN PATENT APPLICATION

(2) Application number: 91110755.5

(F) Int. Cl.5: G06F 15/16, G06F 13/36

(22) Date of filing: 28.06.91

Priority: 29.06.90 US 546547

43 Date of publication of application: 08.01.92 Bulletin 92/02

Designated Contracting States:
 DE FR GB IT NL

Applicant: DIGITAL EQUIPMENT
 CORPORATION
 111 Powdermill Road
 Maynard Massachusetts 01754-1418(US)

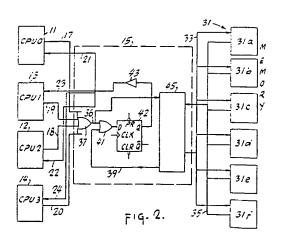
2 Inventor: Ramanujan, Ray 36 Fox Meadow Road Leominster, MA 01453(US) Inventor: Keller, James B. 33 Ottawa Road Arlington, MA 01775(US) Inventor: Samaras, William A. 528 Washington Street Haverhill, MA 01830(US) Inventor: DeRosa, John 16 Lover's Lane

Princeton, MA 01541(US) Inventor: Stewart, Robert E. 1 No Name Road Stow, MA 01775(US)

(4) Representative: Betten & Resch Reichenbachstrasse 19 W-8000 München 5(DE)

High speed bus system.

(57) A high speed bus system for use in a shared memory system that allows for the high speed transmissions of commands and data between a number of processors and a memory array of a multi-processor, shared memory system, with the high speed bus system including a central unit and a series of uni-directional buses that connect between the plurality of processors and shared memory, with the central unit including arbitration logic and a series of multiplexers to determine which CPUs are granted access to shared buses, scheduling logic that works with the arbitration logic and multiplexers to determine which CPUs are granted access to the shared buses, and port logic for combining the CPU transmissions and determining if such transmissions are valid.



EP 0 464 708 A1

30

3

other processors and from the shared memory to the processors. The transmissions output from the state device are processed by the driver before being placed on the point-to-point buses to the processors.

The second embodiment of the central unit is similar to the first embodiment except that the series of OR gates is replaced with a series of multiplexers and a logic element is added to control the multiplexers. The first multiplexer of the series has logic associated with its input to assist in the keeping the shared bus full, as well as determining the validity of commands and data from the processors.

Brief Description of the Drawings

Figure 1 is a general block diagram of a system that includes the high speed bus system of the present invention.

Figure 2 is a simplified block diagram of a first embodiment of the present invention using OR gates.

Figure 3 is a simplified block diagram of a second embodiment of the present invention using multiplexers.

Figure 4 is a more detailed block diagram of a portion of the central unit shown in Figure 3.

Figure 5 is a more detailed block diagram of the scheduling logic shown in Figure 4.

Figure 6 is a more detailed block diagram of the resource check logic shown in Figure 4.

Figure 7 is a timing diagram for read command timing.

Figure 8 is a timing diagram for snoopy refill command timing for snoopy hits.

Figure 9 is a timing diagram for SWAP command timing.

Detailed Description

The high speed bus system of the present invention provides a high speed bus for use in transmitting commands and data between the processors and a shared memory in a multi-processor, shared memory system.

Figure 1 is a general block diagram of a system in which the system and method of the present invention may be implemented. This system has CPU 0 at 11, CPU 1 at 13, CPU 2 at 12, and CPU at 14. These CPUs are coupled to central unit 15. Central unit 15 will be described in detail subsequently.

Each of the CPUs is connected to the central unit 15 over a point to point bus. Accordingly, E-BUS 0 TA bus 17 connects CPU 0 at 11 to central unit 15, E-BUS 1 TA bus 19 connects CPU 1 at 13 to central unit 15, E-BUS 2 TA bus 18 connects

CPU 2 at 12 to central unit 15. and E-BUS 3 TA bus 20 connects CPU 3 at 14 to central unit 15 (collectively. "E-BUS TA buses"). These uni-directional buses are for transmissions from the CPUs to central unit 15. For the transmission of data from central unit 15 to the CPUs, there are E-BUS 0 FA bus 21, which connects CPU 0 at 11 to the central unit, E-BUS 1 FA bus 23, which connects CPU 1 at 13 to the central unit, E-BUS 2 FA bus 22, which connects CPU 2 at 12 to the central unit, and E-BUS 3 FA bus 24, which connects CPU 3 at 14 to central unit.

1

Each of the CPUs also connect to an I/O bus adaptor 25 over two uni-directional buses. Each is a 16-bit bus. One bus is an input bus and the other is an output bus.

As shown in Figure 1, control console 29 is associated with CPU 0 at 11. However, it is understood that it may be associated with more than one CPU.

Central unit 15 is connected to shared memory 31 by uni-directional A-BUS FA 33 and uni-directional bus A-BUS TA 35. A-BUS FA 33 is for transmissions from the central unit to the shared memory 31. Conversely, A-BUS TA 35 is for transmissions from shared memory 31 to the central unit.

Shared memory 31 includes memory modules which are designated 31a. 31b, 31c, 31d, 31e, and 31f. Each memory module connects to central unit 15 via A-BUS FA at 33 and A-BUS TA at 35. It is to be understood that there may be more shared memory, or there may be more or less memory modules for a single shared memory and still be within the scope of the invention. It is further understood that each module may be of the same type of memory or each may be of a different type.

E-BUS TA buses 17, 19, 18, and 20, E-BUS FA buses 21, 23, 22, and 24, and A-BUS FA bus 33 are 32-bit parallel buses, and A-BUS TA bus 35 is a 64-bit parallel bus.

The central unit 15 performs two basic functions. First, it combines the signals input to it from the CPU and memory on the E-BUS TA and A-BUS TA buses, respectively, so that they are provided as outputs on the output buses E-BUS FA and A-BUS FA. Second, it contains a memory controller for memory modules 31a-31f. Central unit 15 also controls system timing. This is done through a central clock which is not shown.

Figure 2 is a block diagram of the system shown in Figure 1, with the first implementation of the present invention in the system shown in Figure 1. In this implementation, OR gates are used to combine the point to point signals from E-BUS TA buses 17, 19, 18, and 20 into a common signal.

As shown in Figure 2, the 32-bit wide E-BUS TA buses 17, 19, 18, and 20 connect to the series

operation which is known as a "snoopy" operation. is done with timing that insures that any response to a "snoopy" read, which is a "snoopy hit," takes place before refill data returns from one of the memory modules in memory 31.

Again referring to Figure 4, the information on buses E-BUS 0 TA bus 17. E-BUS 1 TA bus 19, E-BUS 2 TA bus 18, and E-BUS 3 TA bus 20 is input to state device 53. The output of the state device 53 is coupled to MUX 59 and to buffer 55. Buffer 55 can store up to three words of predetermined length.

The output of the state device 53 is also input to validity logic 57. The second input to validity logic 57 is a signal that is fed back from the output of validity logic 57. The other output of validity logic 57 connects to the selection inputs of MUX 59. The PORT GRANT signal on line 61, which is output from arbitrator 51, is also input to validity logic 57.

The function of validity logic 57 is to determine if commands and data are valid, and which of the data, either in buffer 55 or input directly to port MUX 59, are to be switched onto bus 63 at the output port MUX 59.

The output of port MUX 59 on bus 63 is input to MUX 37a. The output of port MUX 59 on bus 63 is also input to port select logic 65. MUX 37a and port select logic 65 are part of scheduling logic 66.

Port select logic 65, in response to outputs from the arbitrator 51, selects one of the four inputs to MUX 37a to be coupled to the output of that MUX. This is coordinated with the operation of validity logic 57 which controls the output of port MUX 59 on bus 63. Port select logic 65 grants the four ports supplying inputs to bus 63 access to bus 36 on a round robin basis.

Output bus 36 is input to resource check logic block 67 of the arbitrator 51, MUX 41a, and a number of other units. These units are memory map unit ("MMAP") 69, lock logic unit ("LOCK") 71, input/output unit ("CPIO") 73, interrupt reguest unit ("IREQ/SNIT") 75, memory controller ("MEMC/DBEC") 77, and memory write data path unit ("MWDP") 79. Each of the units 69. 71, 73. 75, and 77 also provide inputs to the MUX 41a.

Resource check 67 receives status inputs from MMAP 69, LOCK 71, CPIO 73, IREQ/SNIT 75, MEMC-DBEC memory controller 77, and MWDP 79. These are the memory module status, the lock register status, the I/O module status, the error status, the memory controller status, and the write buffer status messages. In addition, the resource check logic block 67 generates ARB commands for input to the MUX 41a and a ARB MUX SELECT command for selecting which input will be output from MUX 41a for input to state device 42.

A-BUS TA 35 is a 64-bits wide bus. The sig-

nals on that bus include DAL information. ECC (error correction code) information, and ACK (acknowledgement) information. The ACK bit is processed by memory read data path ("MRDP") 81. The output of MRDP 81 which includes the DAL and ECC information is input to MEMC DBEC 77. The DAL information here is generally refill data. The output of MEMC DBEC is the refill data and this output is one of the inputs to MUX 41a.

3

MEMC, DBEC 77 also provides an output on A-BUS FA bus 33. This output includes the DAL. ECC, FC, and parity information. This information on A-BUS FA bus 33 is input to memory modules 31a-31f. The output of MUX 41a through the state device 42 includes the same information that MEMC/DBEC 77 put on A-BUS FA 33 except that the ECC information is not included.

When the appropriate command signal on bus 36 is input to resource check logic 67, the resource check logic uses the status information input from MMAP 69, LOCK 71, CPIO 73, IREQ/SNIT 75. MEMC/DBEC 77, and MWDP 79 to arbitrate between the different inputs to determine which input will be given access to E-BUS TA buses 21-24 through MUX 41a and state machine 42. The signals that desire access to these buses are the RSCK DAL and RSCK FC signals on bus 36, MMAP LW RD DAL signal output from MMAP 69. LOCK LW RD DAL signal output from LOCK 71. the CPIO LW RD DAL signal output from CPIO 73. the IREQ/SNIT LW RD DAL output from IREQ/SNIT 75, and the METL REFILL DAL signal output from MEMC/DBEC 77. Resource check logic 67 controls access to these buses via the output lines coupled through state devices 67a and 67b and the ARB MUX SELECT signal output from resource check

The outputs from state device 67a on line 61 and 85 are for controlling access of E-BUS TA bus information onto bus 36. The outputs from state device 67b on lines 83 are for causing selected DAL information from MMAP 69, LOCK 71, CPIO 73. IREQ/SNIT 75. and MEMC/DBEC 77 to be input to MUX 41a.

Figure 5 is a more detailed block diagram of the scheduling logic 66 of Figure 4. As stated, the scheduling logic includes as major elements port select logic 65 and MUX 37a. The output of the port logic 49 on bus 63 is input to scheduling logic 66. This input includes the port DAL signals, the port FC signals, the port "snoopy hit" signals, and the port CMD VALID (command valid) signals. The selection of which of these signals will be output from port logic 49 is determined by validity logic 57.

Referring to Figure 5. lines 87 of bus 63 carry the port "snoopy hit" signals. These signals are inputs to priority encoder 89 and OR gate 91. The

55

is input to the selection input of MUX 403, usually selects the "current port" input for output from that MUX. This "current port" output will select its signals for output from MUX 37a on bus 36. It is only when other events take place that the other inputs to MUX 403 are selected for output as will be described.

Now that the method by which a port is given access to bus 36 has been described, the operation of scheduling logic 66 will be discussed.

Each command is usually followed by at least one word. This word may be an address, or data (in the case of a refill). This address or data may be followed by additional data (in the case of a write command), a refill command, or a SWAP command (a combined read and write command).

Once a port is given access to the bus, it must continue to be given access until it is finished transmitting its commands or data onto bus 36. For example, in the case of a SWAP command, the port must have continuous access to send the SWAP command, a read address, a write back command, a write address, and then the write data. During the time that the data and commands are being sent, FC changes states according to what is on the DAL lines. If it is a command, it has one state and the other state if it is not a command.

The purpose of the MUX 403 is to select between a "previous port", a "snoopy port", and the "current port". As stated, it is only when predetermined events take place that the "previous port" or "snoopy port" inputs to MUX 403 are selected. The method of selecting the output of MUX 403 will now be discussed.

The output of MUX 403 is input to the selection inputs of MUX 37a. This determines which port is granted access to bus 36. Normally, the output of MUX 403 is the "current port"; hence the "current port" is selected at MUX 37a. The "current port" is also input to state device 411. On the clock cycle after the "current port" is input state device 411, with the output therefrom on line 417. This output is input to the selection inputs of OLD FC MUX 95 and fed back as the "previous port" put to MUX 403

After passage of one cycle, the information being transmitted on the port selected at MUX 37a is data or addresses, and not a command. Accordingly, the FC signal will change states. This new state will be input to port select generator 93. This will cause the SCHD MODE SELECT (scheduling mode selector) output of the generator to have a bit pattern that will select the "previous port" input to MUX 403 which is latched in state device 411. The "previous port" value will remain as the output of MUX 403 until the state of the FC signal on line 419 changes signifying the end of data and the presence of a new command. It is only then that

port select generator 93 will change its selection signals to select the "current port" rather than the "previous port." This action ensures that data transmission in complete before another command is placed on bus 36.

In the meantime, in response to the change in state of the FC signal on line 419, the "next port" value is selected at MUX 407. This is done by port select generator 93 changing which output the selection signals selects to be output from MUX 407. Once selected, the "next port" signal, through state device 409, is fed back to MUX 407 and barrel shifter 99. Barrel shifter 99 then selects the next valid port, which now becomes the "current port" on line 413. The scheduling logic 66 now awaits the next change in the state of the selected FC signal for repeating these actions. As an example of the operation of scheduling logic 66, the following is provided.

During normal operations, without a "snoopy hit," when the "current port", e.g., port "0", is selected and coupled through MUX 403, this "current port" signal makes the selection of the "current port" DAL and FC at MUX 37a. On the next clock cycle, this port designation, i.e., port "0", is available at the output of state device 411. The output of state device 411 selects the corresponding port FC signal to be output from MUX 95. Thus, if port "0" is the current port, the FC bit for port "0" will be output from MUX 95 and fed back to port select generator 93.

During the first cycle, which contained a command, the FC bit, for example, may be a logic "1" value. On the second cycle, when other than a command is transmitted, it will change to a logic "0" value.

When the port "0" FC signal switches, this changed value is input to port select generator 93. In response to this change, port select generator 93 will select the "previous port" input that is output from state device 411. Hence, the output of MUX 403 is the "previous port" input. This all results in a holding period so that all of the port "0" information can be transmitted. Once the FC signal changes states to indicate that a command is again being transmitted, the output from port select generator 93 to the selection inputs of MUX 403 will again select the "current port" input, which now is port "1". The process repeats itself for each of the ports taken in round robin fashion.

The remaining portions to be discussed regarding Figure 5 relate to granting "snoopy hits" access to bus 36. With regard to normal operations, access of the ports to bus 36 was predicated on the SCHD GRANT (scheduling grant) signal on line 85a from arbitrator 51 having the proper state.

"Snoopy hits" are given priority over normal commands and data. Accordingly, the SNOOPY

memory transfers. These are the read commands. write back commands in which data is written from one CPU to memory, refill commands in which data is written to one CPU from either memory or another CPU, and SWAP command. These commands will be described in conjunction with the appropriate Figures.

15

Referring to Figure 7, a timing diagram for a read command is shown. For the purposes of this example, let it be assumed that CPU 1 is sending out a read command. At the first cycle, CPU 1 sends read command 101 followed by address 103 at the second cycle. At the second cycle, the command is arbitrated as indicated at 105. The arbitration will be between the CPUs competing for access to bus 36. The read command is loaded into the first buffer location of buffer 55 and the read address is loaded into the second buffer location of that buffer (see Figure 4). Buffer 55 can hold up to three words in its three buffer locations, but only two of those locations are used here.

If the command is valid, as determined by validity logic 57, and the requested memory module is available, at the third cycle, the CPU 1 port is granted access to bus 36 as shown at 107 of Figure 7. This is done by coupling the read command in the first buffer location of buffer 55 (See Figure 4) to bus 36 through MUXes 59 and 37a. This command is then coupled through MUX 41a reaching E-BUS FA buses 21-24.

During the next cycle, the address from the second buffer location of buffer 55 in port logic 49 is put on bus 36 as indicated at 111. The read command at 101, which the CPU put on one of the E-BUS TA buses during the first cycle, appears on each of the E-BUS FA buses 21-24 during the third cycle. In this way, the CPU which sent the original command will know, as will all of the other CPUs, that the request has been granted and that the requesting CPU has been given access to bus 36. This is done without the necessity of making a separate communication to each CPU. Also, the read command and address at 101 and 103, respectively, are provided to each of the other CPUs so that they may determine if they have the requested information in their caches for the purpose of a "snoopy hit."

If it is a write command, the first piece of data is sent on a third cycle. The remainder of the data is provided in subsequent cycles. The write command and address will be processed in the same manner as a read command and address. The data, however, will be written to memory and not returned.

Figure 8 is a timing diagram for "snoopy" refill command timing. Referring to this Figure, the processing of "snoopy hits" will be discussed. As stated, a "snoopy hit" occurs when a CPU has the

address associated with a read command in its cache memory. The CPU that has the data in its pache responds to the read command by putting out a "snoopy refill" command followed by refill data on its E-BUS TA bus.

Starting with the first cycle shown in Figure 8. refill command 201 is output from a CPU and input to the first buffer location of buffer 55 (Figure 4), then a predetermined number cycles of refill data are output. The data is output at a rate of one byte per cycle. As shown, the first byte of refill data 203 is output at the second cycle and this first byte is input to the second buffer location of buffer 55 (Figure 4), second byte 205 at the third cycle is input to the third buffer location of buffer 55 (Figure 4), and third byte 207 at the fourth cycle is held at state device 53 (Figure 4). The remainder of the data is output at subsequent cycles.

As an example, assume bus 36 was busy when refill command 201 was sent from CPU 3 at 14. As a result, CPU 3 at 14 will have to wait for access to the bus. Accordingly, the refill command, data "0", and data "1", are sent and held in the buffer 55 in port logic 49, as stated, until access is granted. This buffer of port logic 49, as stated, can hold 3 words.

Because the bus was busy, the earliest times at which arbitration can take place is at the fourth cycle. Thus, CPU 3 was given access to the bus 36 two cycles after it asked for it (two cycles late).

In the meantime, at the fourth cycle, the third piece of refill data "2" as 207 has been placed on the bus and is held in state device 53 (Figure 4) because the buffer can only hold 3 words and, therefore, it is full. Once arbitration takes place at 206 and arbitrator 51 gives CPU 3 access to the bus 36, the refill command is available on all E-BUS FA buses 21-24. This takes place at the fifth clock cycle.

At the fifth cycle, the refill command is latched in state device 42. This refill command, indicated at 209, is transferred from the first buffer location. Similarly, the refill data "0" at 211 is transferred from the second buffer location in buffer 55 and the refill data "1" at 213 is transferred from the third buffer location. At the seventh cycle, the refill data "2" is transferred into the state device 42. It, therefore, is ready for output on the E-BUS FA at the next cycle, as shown at 215.

During the fourth cycle at 206, when arbitrator 51 granted the port access to the bus via line 61, validity logic 57 (see Figure 4) coupled the input from the Efirstbuffer location in buffer 55 (see Figure 4) through MUX 59. Hence, this input is output from the MUX on bus 63. On the next cycle, the input in the second buffer location of buffer 55 is coupled and in the following cycle, the input in the third buffer location is coupled. After that, the out-

30

40

15

nput the output of the first OR gate and as a second input the first bus from the shared memory, with the output of the second OR gate being coupled to the second buses to the central processing unit.

- 12. A system as recited in claim 11, wherein the system further includes a state device that is connected to the output of the second OR gate, with the output of the state device being connected to the second buses leading to the central processing units.
- 13. A system as recited in claim 12, wherein the system further includes at least one driver between the output of the state device and the second buses leading to the central processing units.
- 14. A system as recited in claim 8, wherein in the central unit includes multiplexer circuits and arbitration logic for controlling the multiplexers.
- 15. A system as recited in claim 14, wherein the multiplexer logic, comprises:
 - a first multiplexer which has as inputs a first bus from each of the central processing units and providing an output coupled to the second bus from the central unit to the shared memory; and
 - a second multiplexer which has as a first input the output of the first multiplexer and as a second input the first bus from the shared memory to the central unit, with the output of the second multiplexer being connected to each of the second buses leading to the central processing units.
- 16. A system as recited in claim 15, wherein the system further includes a first state device at the output of the second multiplexer, with the output of the first state device being connected to the second buses leading to the central processing units.
- 17. A system as recited in claim 16, wherein the system further includes at least one driver between the output of the first state device and the second buses leading to the central processing units.
- 18. A system as recited in claim 17, wherein the central unit further includes a memory controller, with the memory controller providing at its output the second bus to the memory and having as an input the first bus from the memory, with the memory controller connecting the first bus to the second multiplexer and con-

necting the output of the first multiplexer to the second bus.

- 19. The system as recited in claim 18, wherein the system further includes port logic that connects each of the first buses from the central processing unit to the first multiplexer.
- 20. The system as recited in claim 19, wherein for each input port coupled to one of the first buses, the port logic includes:
 - an input state device;
 - a buffer having a plurality of locations, the input of the buffer coupled to the output of the input state device;
 - a port multiplexer having as inputs the outputs of the buffer locations and the output of the input state device; and

validity logic having as inputs the output of the state device and a control input from the arbitration logic for granting the port access to the bus system, with the validity logic providing an output to control the port multiplexer.

- **21.** The system as recited in claim 20, wherein the arbitration logic includes an arbitrator and scheduling logic.
- 22. The system as recited in claim 21, wherein the outputs of the port multiplexers in the port logic for each of the central processing units is connected to the inputs of the first multiplexer, with the port select logic comprising logic responsive to the outputs of the port multiplexers and to an input from the arbitrator to control the first multiplexer such as to selectively couple one of its inputs to its output.
- 23. The system as recited in claim 22, wherein the scheduling logic includes logic to grant the input ports access on a round robin basis.
- 24. A system as recited in claim 3, wherein said port select logic comprises:
 - a barrel shifter;
 - a first priority encoder having as inputs the outputs of the barrel shifter;
 - a schedule port ID multiplexer having as a first input the output of the priority decoder;

left shift one logic having an input coupled to the output of the first priority encoder and providing an output which is left shifted by one;

- a next port multiplexer having as a first input the output of the left shift one logic;
- a second state device coupled to the output of the next port multiplexer, the output of the state device coupled back as a second

40

50

15

30

35

40

combining logic comprises two stages of combining logic. a first stage of combining logic having the first buses from the central processing units as inputs and providing its output to the memory controller; and

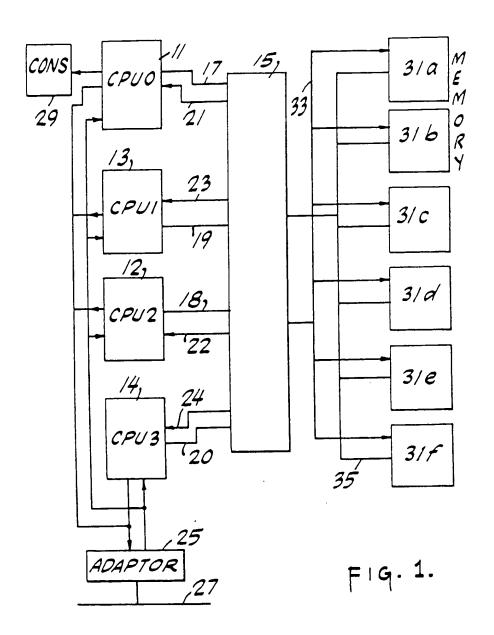
a second stage of combining logic having as inputs the output of the first stage of combining logic and the output of the memory controller, with the output of the second stage of combining logic being provided to the second buses coupled to the plurality of central processing units.

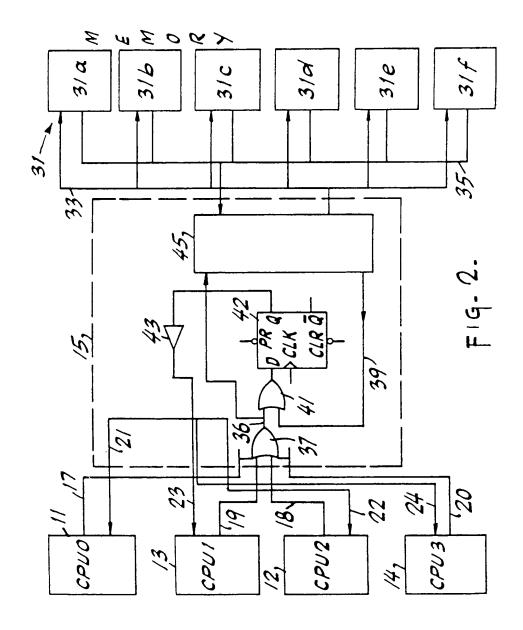
- 32. A system as recited in claim 31, wherein the first and second stages of combining logic comprise first and second multiplexers, and the arbitration logic comprises logic for controlling the multiplexers.
- 33. A method of implementing a high speed bus to which a plurality of bus elements are coupled comprising the steps of:
 - (a) coupling each of the bus elements to a central unit with a separate first uni-directional bus having a direction from the bus elements to the central unit;
 - (b) selecting one of the first bus inputs to the central unit to be an output; and
 - (c) coupling said output to each of the bus elements over a second uni-directional bus having a direction from the central unit to the bus elements.
- 34. The method as recited in claim 33, wherein the bus elements include a plurality of central processing units and a shared memory.
- 35. The method as recited in claim 34, wherein the selecting step further comprises selecting between the inputs on the first buses from the central processing unit and the bus from the memory.
- 36. The method as recited in claim 36, wherein the central processing units output commands requesting access to the memory, each command followed by an address and/or data and/or additional commands and further including:

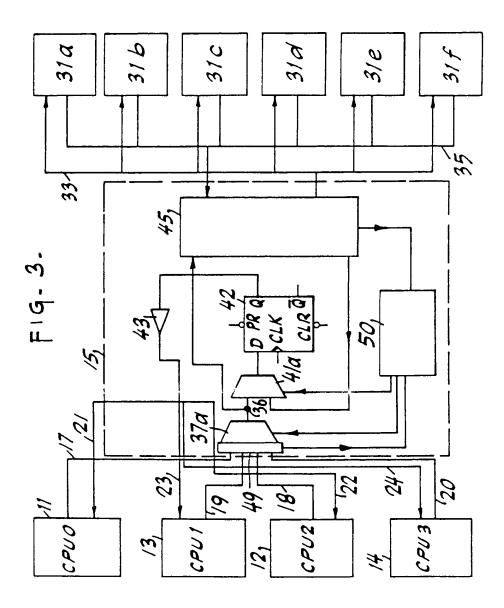
storing up to three words output by a central processing unit at the central unit:

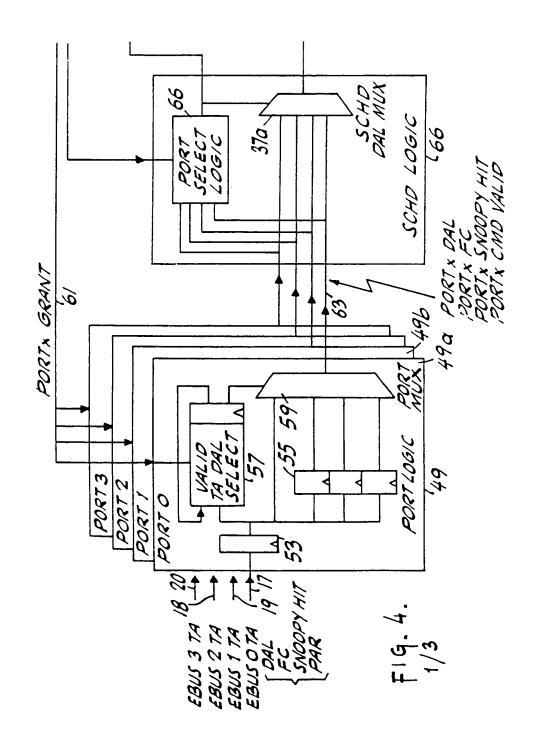
arbitrating access to the bus at the output of the central unit, including granting access to one of the central processing unit inputs by providing the stored command to the output and on successive cycles providing additional words stored at the central unit on the output; and

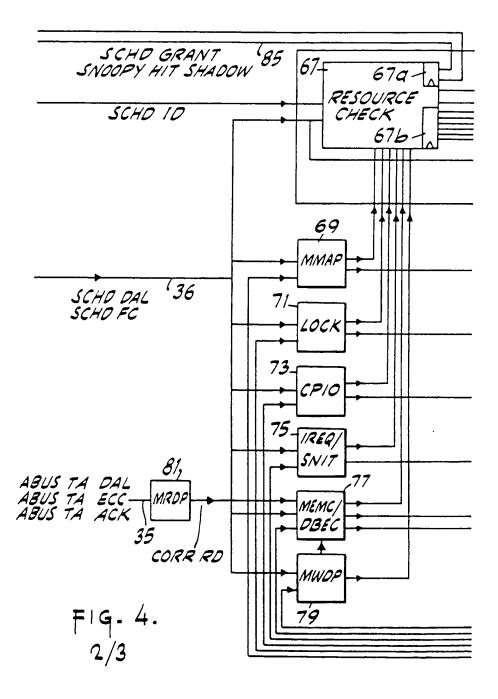
when all stored words have been provided on the output on the next and subsequent cycles providing any input words from the central processing unit directly on the output whereby, by storing a number of words in the central unit, once the central processing unit is granted access to the bus, a continual flow of data will occur without any dead time.

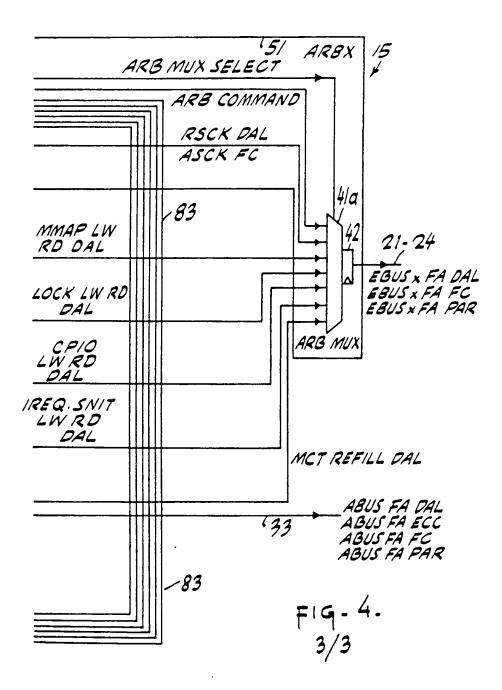


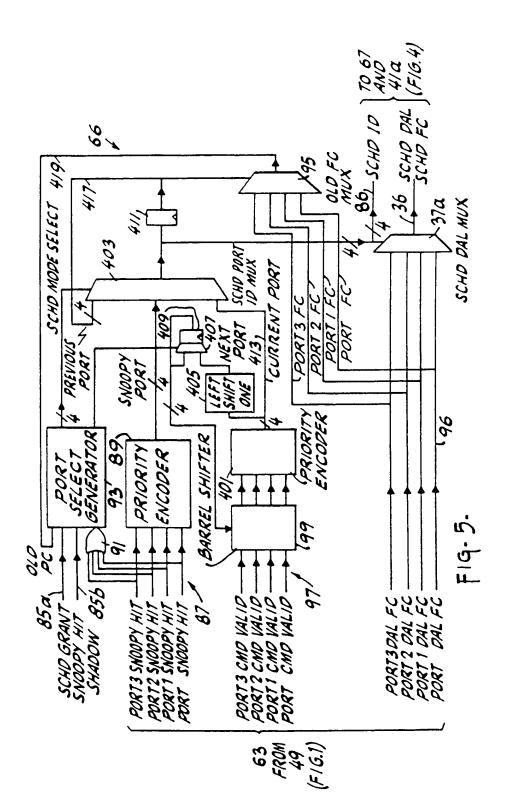


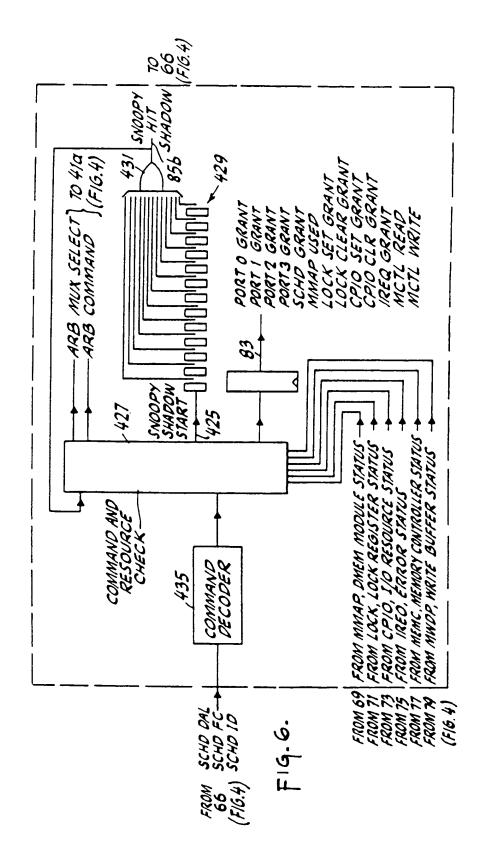


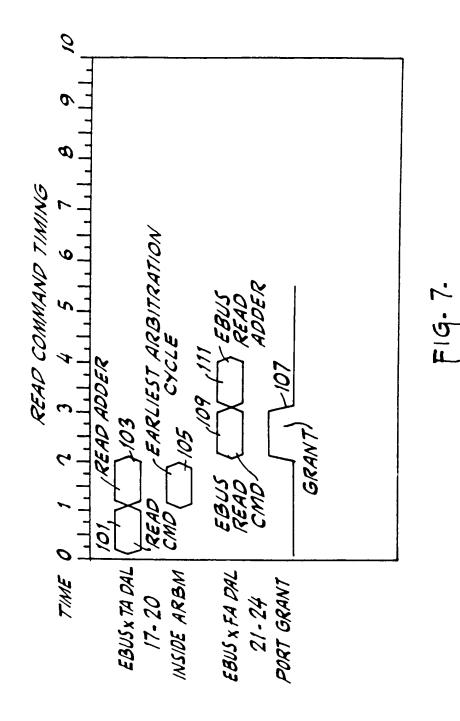




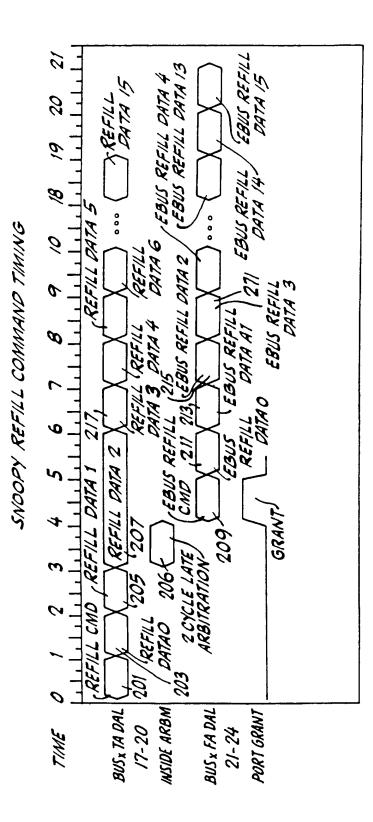




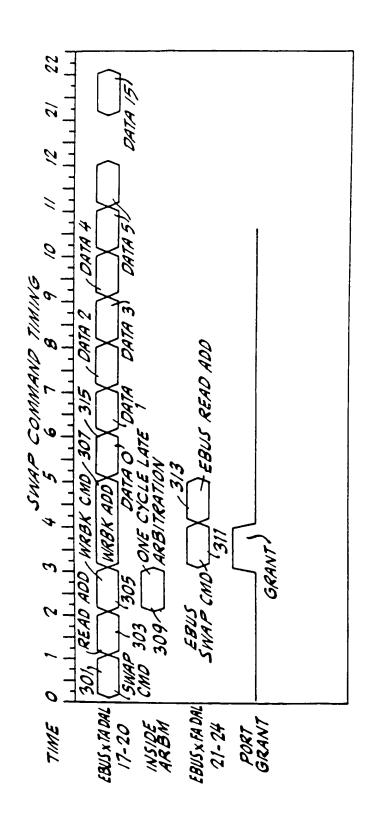




ξ.,



23



24



European Patent Office

EUROPEAN SEARCH REPORT

Application Number

EP 91 11 0755

<u>U</u>	OCUMENTS CONSI	DEKED IO RE P	ELEVAN	1	
ategory		h indication, where appropriate, vant passages		elevant o claim	CLASSIFICATION OF THE APPLICATION (Int. CI.5)
Y	DE-A-3 340 123 (HEIDTM)	ANN ET AL)		10,14, ,33-35	G 06 F 15/16 G 06 F 13/36
Α	DE-A-3 340 123 () page 6, line 25 - page 11,	ine 35; figures 1-6	1	-22,31, ,36	
Y	DE-A-3 935 707 (K S D CC column 7, line 32 - column			10,14, .33-35	
Y	WO-A-8 704 826 (EASTMA * claims 1,6; figure 2 * *	AN KODAK COMPANY)		10,14, ,33-35	
				:	
					TECHNICAL FIELDS SEARCHED (Int. Cl.5)
					G 06 F
	The present search report has b	een drawn up for all claims			
	Place of search	Date of completion of	search		Examiner
	The Hague	31 October 9	1		WANZEELE R.J.
Y: A:	CATEGORY OF CITED DOCU particularly relevant if taken alone particularly relevant if combined with document of the same catagory technological background	-	the filing of D: document L: document	date cited in th cited for d	ther reasons
P:	non-written disclosure intermediate document theory or principle underlying the in	vention	&: member o document		patent family, corresponding

BETTEN & RESCH Patentanwälte

European Patent Attorneys

Dipl.-Ing. Jürgen Betten Dipl.-Phys. Michael Resch

Reichenbachstrasse 19 (Gärtnerplatz) D-8000 München 5 © 089 - 260 30 88 Telex 5 218 677 elpa d Telefax 089 - 260 78 96

Datelmark®

KENYON & KENYON Attn. Ms. Ann M. Murphy One Broadway New York, N.Y. 10004 U.S.A.

January 17, 1992

European patent application No. 91 110 755.5 Digital Equipment Corporation

Your ref.: 17380/1103

Our file: DKK 73 EP B/ro

PROCEEDING FURTHER WITH THE EUROPEAN PATENT APPLICATION

Dear Ms. Murphy,

according to the enclosed *communication the EPO requests to indicate whether it is desired to proceed further with the European patent application.

According to your general instructions we will confirm to the EPO that it is intended to proceed with the application unless we receive instructions to the contrary before

March 8, 1992.

Yours sincerely,

Jürgen Betten

Encl.

EUROPÄISCHES FATENTAMT Zweigstelle in Den Haag Eingangsstelle

OFFICE
Branch at the
Hague
Receiving

Section

OFFICE EUROPEEN DES BREVETS Departement a La Haye Section de Dépôt

P.B.5818 Pat: Jan. 2 2280 HV RIJSWIJK (ZH) Pays Bas / Netherlands / Niederlande

→ Telex 31651 → (070) 340-20 40 → BREVPATENT



Betten & Resch Reichenbachstrasse 19 W-8000 München 5 ALLEMAGNE



08.07. 02 /he

Datum/Date 14/01/92

Zeichen/Ref./Réf

DKK 73 EP

Anmeldung Nr /Application No./Demande n*.//Patent Nr./Patent No./Brevet n*.

91110755.5-2201 /

/ 0464708

Anmeider/Applicant/Cemandeur//Patentinnaper/Proprietor/Titulaire DIGITAL EQUIPMENT CORPORATION

PROCEEDING FURTHER WITH EUROPEAN PATENT APPLICATION

PURSUANT TO ARTICLE 96 (1) AND RULE 51 (1) EPC

The date on which the European Patent Bulletin mentions the publication of the European search report for the above-identified European patent application (publication number: 0464708) is

08.01.92.

Since you have filed a request for examination of your above identified European patent application prior to the transmission of the European search report, you are hereby invited to indicate whether you desire to proceed further with the application.

If you do not reply to this invitation before the end of six months

after the above mentioned publication date, the application will be deemed to be withdrawn (Article 96 (3) EPC).

You are invited, if you wish, to comment on the European search report and to amend, where appropriate, the description, claims and drawings (Rule 51 (1) EPC).

In all future communications to the EPO, please quote the application number plus Directorate number.

RECEIVING SECTION

Registered letter

EPO FORM 1082 08.89



DATE 15MAY97	æ	REPORT 02D202	PAGE		ı
LIST OF ANNUITIES		FROM 01NOV96 TO 31JUN97		6 6 6 4	L
COMPUTER PACKAGES INC. 414 HUNGERFORD DR. 4300 RDCKVILLE MD. 20850 U.S.A.	INC. #300 850 U.S.A.			,	

PATENT NO. APPLICATION NO. PUBLICATION NO. DATE

YEAR

AMOUNT LATE FEE TITLE HOLDER

OUR FILE

YOUR REF.

GRAND	
TOTAL	
79 79	91110755.5 91401783.5 91401783.5 92305985.1 92305985.6 92305986.6 92305986.6 92305987.8 92305987.8 92305987.8 9230598.6
1 1	0464718 0464718 0463977 0320838 0320837 0322837 0322763 0322763 0323876 0323876 0323878 0323878 0323878 0323878
TOTAL	28JUN91 28JUN91 28JUN91 29JUN92 29JUN92 29JUN92 29JUN92 29JUN92 29JUN92 29JUN92 29JUN92 30JUN92 30JUN98 30JUN98 30JUN98
	111
104,050	NNN
	DIGITAL DIGITAL DIGITAL DIGITAL DIGITAL DIGITAL DIGITAL DIGITAL
	MOMENTAL MARKET STATES OF THE
,	DE 90 - 0282 DE 90 - 0283 DE 90 - 0331 DE 91 - 0087 DE 91 - 0278 DE 91 - 0272 DE 91 - 0277 DE 91 - 0277

RECEIPT FROM EPO SHOWING THAT MAINTENANCE FEE WAS PAID ON APPLICATION NO. 91110755.5

	Applications ** C		Classifications **	1	Ctry Priority: US	Docket No: PD90-0282CONT1							•				applications ** C			Classifications *			ctry Priority: US	Docket No: PD90-0282
Sn	Country	21				NT1	}	7	= -	; ;	3 6	2 2	יו כ	3 6	3 5	P A	Country	N	? !	; D:				
۶	Status	2140.00			Disc Status:	Titl	,	y 1	۰,>		4 ⊩	4 ⊱	i (E	1 14	۵ ۵	• ≯	Status	2140.00		Digital			Disc Status:	Titl
08/047,164		1 1 2 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	USPTO Class / Sub		L Resp	Title: HIGH SPEED BUS	01/046,04/	91110755.5	139187/91	91110755.5	91110755.5	91110755.5	91110755.5	91110755.5	2,042,711		Application No.		+ 1	USPTO Class / Sub	•		C	Title: HIGH SPEED BUS
12-Apr-1993	Date Filed	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	Subclass Intern		Attorney: MALONEY,	SYSTEM	29-Jun-1990	28-Jun-1991	11-Jun-1991	28-Jun-1991	28-Jun-1991	28-Jun-1991	28-Jun-1991	28-Jun-1991	16-May-1991	23-Apr-1991	Date Filed			Subclass Intern			Resp Attorney: MALONEY, DENIS	SYSTEM
	Patent Number	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	International Class /		DENIS			0474708		0464708	0474708	0464708	0464708	0474708		636739	nt Numb			International Class /			DENIS	
***************************************	Date Issued	: : : : : : : : : : : : : : : : : : : :			Inventors: De			08-Jan-1992		08-Jan-1992	08-Jan-1992	08-Jan-1997	08-Jan-1992	08-Jan-1992	ţ	30-Aug-1993					S, Z.	25 20	Inventors: De	
KENYON1	Resp Firm		Group Art Unit	RAMANUJAN, KAJ SAMARAS, WILLIAM KELLER, JAMES STEWART, ROBERT	DeROSA, JOHN		KENYON1	HAAGSCH	NAKAMURA	STUDIO TOR	KILBURN	CABINET	BETTEN	BETTEN	SMART	PHILLIPS	Resp Firm			Group Art Unit	KELLER, STEWART,	SAMARAS,	DeROSA,	
PAPPAS	Docket Attorney			- 14			PACIULAN						SUHL				Docket Attorney							

Rcw A